

# A Generic Deskolemization Strategy

LPAR-25, Mauritius

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# First-Order Logic for Proof Assistants

- ▶ sequent calculi or natural deduction
- ▶ Here Sequent Calculus GS3 (left-sided)

$$\begin{array}{c} \frac{}{\Gamma, \perp} \perp \\ \frac{\Gamma, P, Q}{\Gamma, P \wedge Q} \wedge \\ \frac{\Gamma, P}{\Gamma, \neg\neg P} \neg\neg \\ \frac{\Gamma, P}{\Gamma, P \vee Q} \vee \\ \frac{\Gamma, \forall x. P, P[x \mapsto t]}{\Gamma, \forall x. P} \forall \\ \frac{\Gamma, \exists x. P, P[x \mapsto c^*]}{\Gamma, \exists x. P} \exists \end{array} \quad \begin{array}{c} \frac{}{\Gamma, P, \neg P} \text{ax} \\ \frac{\Gamma, \neg P, \neg Q}{\Gamma, \neg(P \vee Q)} \neg\vee \\ \frac{\Gamma, \neg P}{\Gamma, P \Rightarrow Q} \Rightarrow \\ \frac{\Gamma, \neg\exists x. P, \neg P[x \mapsto t]}{\Gamma, \neg\exists x. P} \neg\exists \\ \frac{\Gamma, \neg\forall x. P, \neg P[x \mapsto c^*]}{\Gamma, \neg\forall x. P} \neg\forall \end{array} \quad \begin{array}{c} \frac{}{\Gamma, \neg\top} \neg\top \\ \frac{\Gamma, P, \neg Q}{\Gamma, \neg(P \Rightarrow Q)} \neg\Rightarrow \\ \frac{\Gamma, \neg P}{\Gamma, \neg(P \wedge Q)} \neg\wedge \\ \frac{\Gamma, \neg P}{\Gamma, \neg\exists x. P} \neg\exists \\ \frac{\Gamma, \neg\forall x. P, \neg P[x \mapsto c^*]}{\Gamma, \neg\forall x. P} \neg\forall \end{array}$$

Refutation methods, rather than direct proofs:

- ▶ tableaux, here (Goéland, concurrent & parallel ATP)
- ▶ postpone instantiation of universal variables
  - ★ leave Free Variables (aka “Meta”) instead
  - ★ instantiate at closing time
  - ★ freshness of existential constants  $c^*$  under threat
  - ★ register the variables the constant **depends on**
  - ★ Skolem symbol, Skolem term
- ▶ ATPs need **proof certificates**

## Our Contribution

Translation from free-variable tableaux to sequent calculus.

# Free-Variable Tableaux Calculus

- closure rules:

$$\frac{\perp}{\odot} \odot_{\perp} \quad \frac{\neg\top}{\odot} \odot_{\neg\top} \quad \frac{P, \neg Q}{\sigma} \odot_{\sigma}, \sigma(P) = \sigma(Q)$$

- $\alpha$  rules (non-branching connectives):

$$\frac{\neg\neg P}{P} \alpha_{\neg\neg} \quad \frac{P \wedge Q}{P, Q} \alpha_{\wedge} \quad \frac{\neg(P \vee Q)}{\neg P, \neg Q} \alpha_{\neg\vee} \quad \frac{\neg(P \Rightarrow Q)}{P, \neg Q} \alpha_{\neg\Rightarrow}$$

- $\beta$  rules (branching connectives):

$$\frac{P \vee Q}{P \quad Q} \beta_{\vee} \quad \frac{P \Rightarrow Q}{\neg P \quad Q} \beta_{\Rightarrow} \quad \frac{\neg(P \wedge Q)}{\neg P \quad \neg Q} \beta_{\neg\wedge}$$

- $\gamma$  rules (universal quantifiers):

$$\frac{\forall x. P}{P[x \mapsto X]} \gamma_{\forall} \quad \frac{\neg\exists x. P}{\neg P[x \mapsto X]} \gamma_{\neg\exists}$$

- $\delta$  rules (existential quantifiers):

$$\frac{\exists x. P}{P[x \mapsto f(X_1, \dots, X_n)]} \delta_{\exists} \quad \frac{\neg\forall x. P}{\neg P[x \mapsto f(X_1, \dots, X_n)]} \delta_{\neg\forall}$$

## Example Tableau Proof: Drinker's Principle

$$\frac{\frac{\frac{\neg(\exists x. D(x) \Rightarrow \forall y D(y))}{\neg(D(X) \Rightarrow \forall y D(y))} \gamma_{\neg\exists}}{\frac{D(X), \neg(\forall y D(y))}{\neg D(c)} \alpha_{\neg\Rightarrow}} \delta_{\neg\forall}^+}{\{X \mapsto c\} \odot_{\sigma}}$$

### Tableau Proof

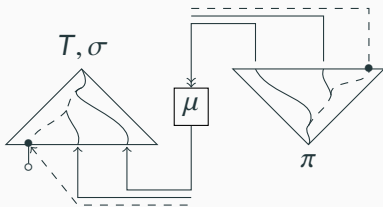
Proof tree and a uniform substitution  $\sigma$  that closes all the branches at once.

# From Tableaux to Sequent Calculus

- ▶ usual induction : impossible (why : in a minute)
- ▶ instead : grow the sequent proof from the root
- ▶ needs discipline : maintain a mapping

## Mapping

a function  $\mu$  that associates to each leaf of a sequent proof tree, an (internal) node of the tableau proof.



- ▶ the node that a leaf maps to is “the next rule to be incorporated”.

## Extraction of the Drinker's Principle Tableau Proof

$$\frac{\frac{\frac{\neg(\exists x. D(x) \Rightarrow \forall y D(y))}{\neg(D(X) \Rightarrow \forall y D(y))} \gamma_{\neg\exists}}{D(X), \neg(\forall y D(y))} \alpha_{\neg\Rightarrow}}{\neg D(c)} \delta_{\neg\forall}^+}{\sigma = \{X \mapsto c\}} \odot_{\sigma}$$

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$$\neg(\exists x. D(x) \Rightarrow \forall y D(y)) \vdash$$



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$$\frac{\neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(D(c) \Rightarrow \forall y D(y)) \vdash}{\neg(\exists x. D(x) \Rightarrow \forall y D(y)) \vdash} \neg\exists$$

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 \end{array}$$

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 \frac{\quad}{\neg D(c)} \delta_{\neg\forall}^+ \\
 \frac{\quad}{\sigma = \{X \mapsto c\}} \odot_{\sigma}
 \end{array}$$

Let the fun begin !

$$\begin{array}{c}
 \frac{\neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(D(c) \Rightarrow \forall y D(y)), D(c), \neg(\forall y D(y)) \vdash}{\neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(D(c) \Rightarrow \forall y D(y)) \vdash} \neg_{\Rightarrow} \\
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 \frac{\quad}{\neg D(c)} \delta_{\neg\forall}^+ \\
 \frac{\quad}{\sigma = \{X \mapsto c\}} \odot_{\sigma}
 \end{array}$$

$$\begin{array}{c}
 \frac{\neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(\forall y D(y)) \vdash}{\neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(D(c) \Rightarrow \forall y D(y)), D(c), \neg(\forall y D(y)) \vdash} W \times 2 \\
 \frac{\quad}{\neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(D(c) \Rightarrow \forall y D(y)) \vdash} \neg_{\Rightarrow} \\
 \frac{\quad}{\neg(\exists x. D(x) \Rightarrow \forall y D(y)) \vdash} \neg_{\exists}
 \end{array}$$

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 \frac{\quad}{D(X), \neg(\forall y D(y))} \alpha_{\neg\Rightarrow} \\
 \frac{\quad}{\neg D(c)} \delta_{\neg\forall}^+ \\
 \frac{\quad}{\sigma = \{X \mapsto c\}} \odot_{\sigma}
 \end{array}$$

$$\begin{array}{c}
 \neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(\forall y D(y)) \vdash \\
 \hline \hline
 \neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(D(c) \Rightarrow \forall y D(y)), D(c), \neg(\forall y D(y)) \vdash \quad \text{W} \times 2 \\
 \hline
 \neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(D(c) \Rightarrow \forall y D(y)) \vdash \quad \neg_{\Rightarrow} \\
 \hline
 \neg(\exists x. D(x) \Rightarrow \forall y D(y)) \vdash \quad \neg_{\exists}
 \end{array}$$

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$$\frac{\frac{\frac{\neg(\exists x. D(x) \Rightarrow \forall y D(y))}{\neg(D(X) \Rightarrow \forall y D(y))} \gamma_{\neg\exists}}{\frac{D(X), \neg(\forall y D(y))}{\neg D(c)} \delta_{\neg\forall}^+}}{\sigma = \{X \mapsto c\}} \odot_{\sigma}$$

$$\frac{\frac{\frac{\neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(\forall y D(y)) \vdash}{\neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(D(c) \Rightarrow \forall y D(y)), D(c), \neg(\forall y D(y)) \vdash} \neg\forall}{\neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(D(c) \Rightarrow \forall y D(y)) \vdash} \neg\exists}{\neg(\exists x. D(x) \Rightarrow \forall y D(y)) \vdash} \neg\exists \quad \text{W} \times 2 \quad \neg\Rightarrow$$



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$$\frac{\frac{\frac{\neg(\exists x. D(x) \Rightarrow \forall y D(y))}{\neg(D(X) \Rightarrow \forall y D(y))} \gamma_{\neg\exists}}{\frac{D(X), \neg(\forall y D(y))}{\neg D(c)} \delta_{\neg\forall}^+}{\sigma = \{X \mapsto c\}} \odot_{\sigma}}$$

**Replay first**, to grow back the missing formulas

$$\frac{\frac{\frac{\neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(\forall y D(y)), \neg D(c) \vdash}{\neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(\forall y D(y)) \vdash} \neg_{\forall}}{\frac{\frac{\frac{\neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(D(c) \Rightarrow \forall y D(y)), D(c), \neg(\forall y D(y)) \vdash}{\neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(D(c) \Rightarrow \forall y D(y)) \vdash} \neg_{\exists}}{\neg(\exists x. D(x) \Rightarrow \forall y D(y)) \vdash} \neg_{\Rightarrow}} \text{W} \times 2$$

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$$\frac{\frac{\frac{\frac{\neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(\forall y D(y)), \neg D(c) \vdash}{\neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(\forall y D(y)) \vdash} \neg_{\forall}}{\neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(D(c) \Rightarrow \forall y D(y)), D(c), \neg(\forall y D(y)) \vdash} \text{W} \times 2}{\neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(D(c) \Rightarrow \forall y D(y)) \vdash} \neg_{\Rightarrow}}{\neg(\exists x. D(x) \Rightarrow \forall y D(y)) \vdash} \neg_{\exists}}$$

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$$\frac{\frac{\frac{\neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(D(c) \Rightarrow \forall y D(y)), \neg(\forall y D(y)), \neg D(c) \vdash}{\neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(\forall y D(y)), \neg D(c) \vdash} \neg_{\exists}}{\neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(\forall y D(y)) \vdash} \neg_{\forall}}{\frac{\neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(D(c) \Rightarrow \forall y D(y)), D(c), \neg(\forall y D(y)) \vdash}{\neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(D(c) \Rightarrow \forall y D(y)) \vdash} \neg_{\Rightarrow}}{\neg(\exists x. D(x) \Rightarrow \forall y D(y)) \vdash} \neg_{\exists} \quad \text{W} \times 2$$

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$$\frac{\frac{\frac{\neg(\exists x. D(x) \Rightarrow \forall y D(y))}{\neg(D(X) \Rightarrow \forall y D(y))} \gamma_{\neg\exists}}{\frac{D(X), \neg(\forall y D(y))}{\neg D(c)} \alpha_{\neg\Rightarrow}}{\neg D(c)} \delta_{\neg\forall}^+}{\sigma = \{X \mapsto c\}} \odot_{\sigma}$$

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$$\frac{\frac{\frac{\frac{\neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(D(c) \Rightarrow \forall y D(y)), D(c), \neg(\forall y D(y)), \neg D(c) \vdash}{\neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(D(c) \Rightarrow \forall y D(y)), \neg(\forall y D(y)), \neg D(c) \vdash} \neg_{\exists}}{\frac{\neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(\forall y D(y)), \neg D(c) \vdash}{\neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(\forall y D(y)) \vdash} \neg_{\forall}}}{\frac{\neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(D(c) \Rightarrow \forall y D(y)), D(c), \neg(\forall y D(y)) \vdash}{\neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(D(c) \Rightarrow \forall y D(y)) \vdash} \neg_{\exists}} \text{W} \times 2}{\neg(\exists x. D(x) \Rightarrow \forall y D(y)) \vdash} \neg_{\exists}$$

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 \frac{\quad}{\neg D(c)} \delta_{\neg\forall}^+ \\
 \frac{\quad}{\sigma = \{X \mapsto c\}} \ominus_{\sigma}
 \end{array}$$

$$\begin{array}{c}
 \frac{\neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(D(c) \Rightarrow \forall y D(y)), D(c), \neg(\forall y D(y)), \neg D(c) \vdash}{\neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(D(c) \Rightarrow \forall y D(y)), \neg(\forall y D(y)), \neg D(c) \vdash} \text{ax} \\
 \frac{\quad}{\neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(\forall y D(y)), \neg D(c) \vdash} \neg_{\Rightarrow} \\
 \frac{\quad}{\neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(\forall y D(y)) \vdash} \neg_{\exists} \\
 \frac{\quad}{\neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(\forall y D(y)) \vdash} \neg_{\forall} \\
 \frac{\quad}{\neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(D(c) \Rightarrow \forall y D(y)), D(c), \neg(\forall y D(y)) \vdash} \text{W} \times 2 \\
 \frac{\quad}{\neg(\exists x. D(x) \Rightarrow \forall y D(y)), \neg(D(c) \Rightarrow \forall y D(y)) \vdash} \neg_{\Rightarrow} \\
 \frac{\quad}{\neg(\exists x. D(x) \Rightarrow \forall y D(y)) \vdash} \neg_{\exists}
 \end{array}$$

Consider a seq. cal. proof-tree  $\pi$ , and a mapping to a tableau  $T$ .

- ▶ Given a tableau non  $\delta$ -rule to be incorporated : do it.
- ▶ If it is a  $\delta$ -rule :
  1. weaken the formulas containing the offensive Skolem ( $\simeq$  fresh) term.
  2. apply the  $\delta$ -rule (no more offense here)
  3. regenerate all the weakened formulas by replaying rules of  $\pi$ .
- ▶ note : the mapping is lost after weakening. We must regain it after step 3. This is hard.



Context : tableau proof  $T$  with substitution  $\sigma$ .

## **Descendance**

The descendance of  $F$  on a branch of  $T$  is the sequence of formulas originating from  $F$ .

## **Dependency**

$\forall x.A$  depends on  $\exists y.D$  iff one of its direct descendant uses the Skolem term.

## **Dependency descendance**

Sequence of descendants of a dependent  $F$ , that contain the Skolem term of interest and that appear on the node.



## A Hydra Game

$\pi$  : proof-tree (under construction) for the  $F$ -branch.

$$\frac{\frac{\psi, \dots, P(c), \exists x. \neg P(x) \vdash}{\psi, \dots, P(c) \wedge (\exists x. \neg P(x)) \vdash} \wedge}{\frac{\psi, \dots, (P(c) \wedge (\exists x. \neg P(x))) \vee F \vdash}{\psi = \forall y. ((P(y) \wedge (\exists x. \neg P(x))) \vee F) \vdash} \forall} \pi} \vee$$

# A Hydra Game

$\pi$  : proof-tree (under construction) for the  $F$ -branch.

**Step 1** : weaken\* the offensive formulas ( $c$ -dependent descendants)

$$\frac{\frac{\frac{\psi, \exists x. \neg P(x) \vdash}{\psi, \dots, P(c), \exists x. \neg P(x) \vdash} \text{W} \times 3}{\psi, \dots, P(c) \wedge (\exists x. \neg P(x)) \vdash} \wedge^*}{\psi, \dots, (P(c) \wedge (\exists x. \neg P(x))) \vee F \vdash} \pi}{\psi = \forall y. ((P(y) \wedge (\exists x. \neg P(x))) \vee F) \vdash} \vee^*$$

# A Hydra Game

$\pi$  : proof-tree (under construction) for the  $F$ -branch.

**Step 2** :  $\exists$  rule and Skolem term/fresh symbol

$$\frac{\frac{\frac{\psi, \exists x. \neg P(x), \neg P(c) \vdash}{\psi, \exists x. \neg P(x) \vdash} \exists}{\psi, \dots, P(c), \exists x. \neg P(x) \vdash} W \times 3}{\psi, \dots, P(c) \wedge (\exists x. \neg P(x)) \vdash} \wedge^*}{\frac{\psi, \dots, (P(c) \wedge (\exists x. \neg P(x))) \vee F \vdash}{\psi = \forall y. ((P(y) \wedge (\exists x. \neg P(x))) \vee F) \vdash} \forall^*} \pi} \vee^*$$

# A Hydra Game

$\pi$  : proof-tree (under construction) for the  $F$ -branch.

**Step 3** : replay the gray\* rules, grow back weakened formulas

$$\frac{
 \frac{
 \frac{
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 \frac{
 \dots, \neg P(c), \dots, (P(c) \wedge (\exists x. \neg P(x))) \vee F \vdash
 }{\psi, \exists x. \neg P(x), \neg P(c) \vdash} \forall
 }{\psi, \exists x. \neg P(x) \vdash} \exists
 }{\psi, \dots, P(c), \exists x. \neg P(x) \vdash} W \times 3
 }{\psi, \dots, P(c) \wedge (\exists x. \neg P(x)) \vdash} \wedge^*
 }{\psi, \dots, (P(c) \wedge (\exists x. \neg P(x))) \vee F \vdash} \pi
 }{\psi = \forall y. ((P(y) \wedge (\exists x. \neg P(x))) \vee F) \vdash} \forall^*
 }{\dots, \neg P(c), \dots, (P(c) \wedge (\exists x. \neg P(x))) \vee F \vdash} \forall^*$$









# The Crux of the Problem

$$\frac{\frac{\vdots}{\exists^* \frac{(replay)}{\frac{\vdots}{G \vdash \pi}}}}{\pi}}$$

An annoying chain of consequences:

- ▶ replay missing branching rule  $\Rightarrow$  duplicate  $\pi$
- ▶ different sequent leaves mapped to the *same* tableau rule
- ▶ some leaves of  $\pi$  may be mapped to the  $\exists/\delta$ -rule to incorporate
- ▶ incorporating a rule on **one** leaf  $\Rightarrow$  more hydra heads
- ▶ this happens (not on the example, though)
- ▶ **does not look like we are making any progress**
- ▶ **takeaway: Step 3 (replay) is complex**

# Meet the Strategy Rules

## Strategy Rule

When replaying the  $\forall$ , if a branch of  $\pi$  maps to the same  $\delta$ -rule, additionally keep **relevant** formulas.

- ▶ we provide a list of **conditions** that strategy rules must satisfy
- ▶ we prove that those conditions ensure termination
- ▶ and we also prove

## Proposition

It is ok to do so (will not break any other freshness condition in  $\pi$ ).

- ▶ works for any skolemization that respects some **requirements**
- ▶ at least : outer, inner, pre-inner.

- ▶ **Skolem term  $\approx$  constant**, as soon as rules are in the correct order. So we get a real sequent calculus proof.
- ▶ **Pain is mandatory** : Skolemization leads to huge speed-up. Deskolemizing cannot avoid huge blow-up (at places).
- ▶ **Ensuring progression** : a strategy rule has to yield a smaller mapping at the end.

# Benchmark

	Problems Proved	Avg. proof size	Avg. size increase	Max. size increase	Avg. time deskolemization (ms)	Avg. time translation Seq. Cal. → Coq (ms)
Goéland	261	6.9	0 %	—	72.1	15.5
Goéland+ $\delta^+$	272	7.0	8.1 %	× 5.3	75.8	14.4
Goéland+ $\delta^{++}$	274	7.1	10.6 %	× 10.3	134.1	39.3
Goéland +DMT	363	6.4	0 %	—	63.4	11.1
Goéland +DMT+ $\delta^+$	375	6.5	4.5 %	× 3.9	72.1	12.1
Goéland +DMT+ $\delta^{++}$	377	6.5	7.4 %	× 5.2	76.1	12.1

- ▶ proofs by Goéland (parallel & concurrent tableaux ATP)
- ▶ addition of Deduction Modulo Theory (DMT)
- ▶ translated into Coq
- ▶ size increase, deskolemization time : reasonable

# Conclusion<sup>1</sup>

What have we seen?

- ▶ a strategy to replace Skolem symbols by fresh constants
- ▶ in first-order classical logic
- ▶ modular in the Skolemization chosen (outer, inner, pre-inner)
- ▶ modular in some critical steps (rule replay)
- ▶ works in practice (feat. nastily crafted proofs)

What will we do next?

- ▶ extension to Deduction Modulo Theory
- ▶ extensions of logic (higher-order, dependent types – Dedukti)
- ▶ generalize wrt to the order nodes linked to a same  $\delta$ -rule are processed (depth-first, parallel)

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