Learning to Rank in Automatic Theorem Proving^{*}

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In saturation-based automatic theorem proving (ATP), clause selection is a crucial heuristic decision point. Neural networks (NNs) have been successfully trained to aid the proof search by approximately prioritizing clauses in a way that leads to a quick derivation of a proof [2, 6, 4, 10, 5]. Typically, such a NN is trained on a set of clauses that were derived in successful proof searches. The clauses are labeled: *Positive* clauses have contributed to a proof, while *negative* clauses have not. A straightforward approach trains a neural classifier of clauses [6, 10]. We motivate an alternative approach based on classification of clause *pairs* by two observations:

- 1. The training data in the form of labeled clauses can be interpreted as a specification of a *relative* preference over clauses: Each positive clause in a proof search is preferred over each negative clause in the same proof search. Specifying pairwise preference relation over clauses opens up the possibility of using finer-grained training data that only compares pairs of causes that belonged to a passive set simultaneously we no longer have to consider every positive competing against every negative.
- 2. The final output of a clause selection heuristic is one clause from a passive set, rather than a partition of the passive set into positive and negative sets. Standard clause selection heuristics order the clauses by a heuristic weight (for example, derivation tree size or number of symbol occurrences in the clause) and prioritize clauses with the lowest weight. Similarly, we may prefer our NN to assign weights to clauses in such a way that the clauses with relatively small weights should be selected early.

Learning to rank is the machine learning task of training a ranking model – a system that ranks an arbitrary set of objects (e.g., clauses). The training data is typically supplied in the form of a partial order on a set of objects. RankNet [3] introduces a design of the loss function and the last layer of the NN that allows training the NN to rank arbitrary objects represented by feature vectors.

To train a clause selection heuristic in a RankNet-based approach, I trained a classifier of clause pairs C_+, C_- that estimates whether C_+ is more useful than C_- when these two clauses compete for selection in a proof search [2]. The NN predicts an intermediate weight w(C) for each clause C. $w(C_+) < w(C_-)$ signifies that C_+ is estimated to be more useful than C_- , so ranking a set of clauses amounts to sorting the clauses by their predicted weights.

This design allowed me to define w as a weighted sum of symbol weights constrained to be greater than 1. The resulting clause weight was a pragmatic conservative modification of the popular symbol counting clause weight. Defining clause weight in this way would be non-trivial if the NN was trained as a clause classifier, while the RankNet design accommodates such definition naturally.

Notably, the RankNet-based approach is sufficiently generic to be applied to other decision points in ATP. In the past, I successfully applied it to symbol precedence recommendation [1].

In my presentation, I will explain the design of RankNet and its generalization DirectRanker [7], and describe how I applied it to clause selection and symbol precedence recommendation.

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