

Constructing
Inverse Diagrams
in
Homotopical Type Theory
(an update)

Josh Chen & Nicolai Kraus

Inverse Diagrams

... are functors

$$X : I \rightarrow \mathcal{C} = \underbrace{\mathcal{D}^{\text{op}}}_{\text{Inverse}} \rightarrow \mathcal{C} = \underbrace{\mathcal{D}}_{\mathcal{D} \text{ direct}}$$

Inverse Diagrams

... are functors

$$X : I \rightarrow \mathcal{C} = \mathcal{D}^{\text{op}} \rightarrow \mathcal{C}$$

$\underbrace{\phantom{X : I \rightarrow \mathcal{C}}}_{\text{Inverse}}$ $\underbrace{\phantom{\mathcal{D}^{\text{op}} \rightarrow \mathcal{C}}}_{\mathcal{D} \text{ direct}}$

e.g. $I = \omega^{\text{op}}$

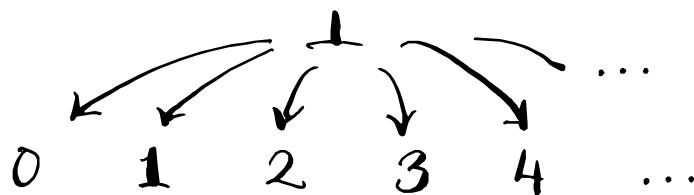
$$0 \leftarrow 1 \leftarrow 2 \leftarrow 3 \leftarrow \dots$$

Inverse Diagrams

... are functors

$$X : I \rightarrow \mathcal{C} = \underbrace{\mathcal{D}^{\text{op}}}_{\text{Inverse}} \rightarrow \mathcal{C} = \underbrace{\mathcal{D}}_{\mathcal{D} \text{ direct}}$$

e.g. $I = \mathbb{N}_1$

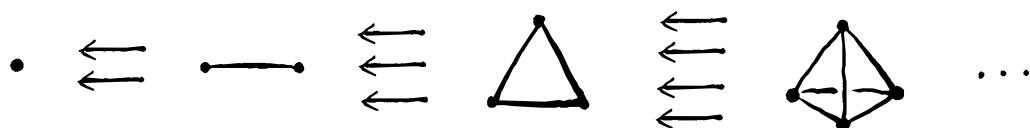


Inverse Diagrams

... are functors

$$X : I \xrightarrow{\text{Inverse}} \mathcal{C} = \mathcal{D}^{\text{op}} \xrightarrow{\mathcal{D} \text{ direct}} \mathcal{C}$$

e.g. $I = \Delta_+^{\text{op}}$



Why?

- ◊ Presheaf + other models of HoTT
- ◊ Parametricity, canonicity results
- ◊ Higher + ∞ -categorical structures

[e.g. Kock '05, Shulman '15,
Kapulkin - Lumsdaine '21]

⇒ Both metatheory and applications.

IDEA :

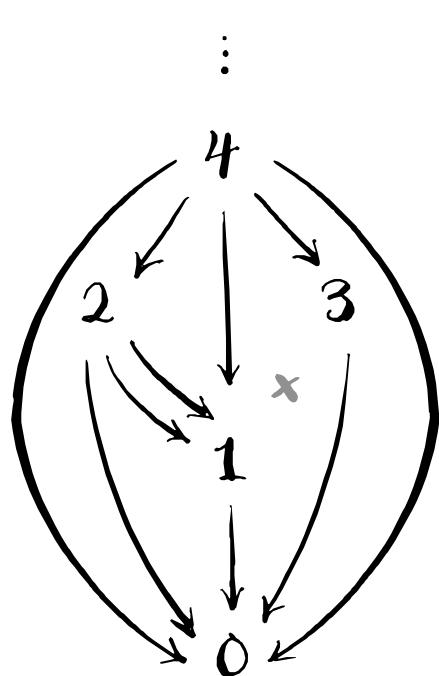
Costyles of simple I
inductively encode
dependency contexts

Def. (Countably) Simple category I :

- ◊ $I_0 \cong \mathbb{N}$ (countable, ordered)
- ◊ $f : I(i,j) \rightarrow i > j$ (inverse)
- ◊ i/I finite (finite fan-out) { "simple"
[Makkai '98]

Examples: ω^op , Δ_+^op , \square_+^op

Simple I encode dependency contexts, inductively.

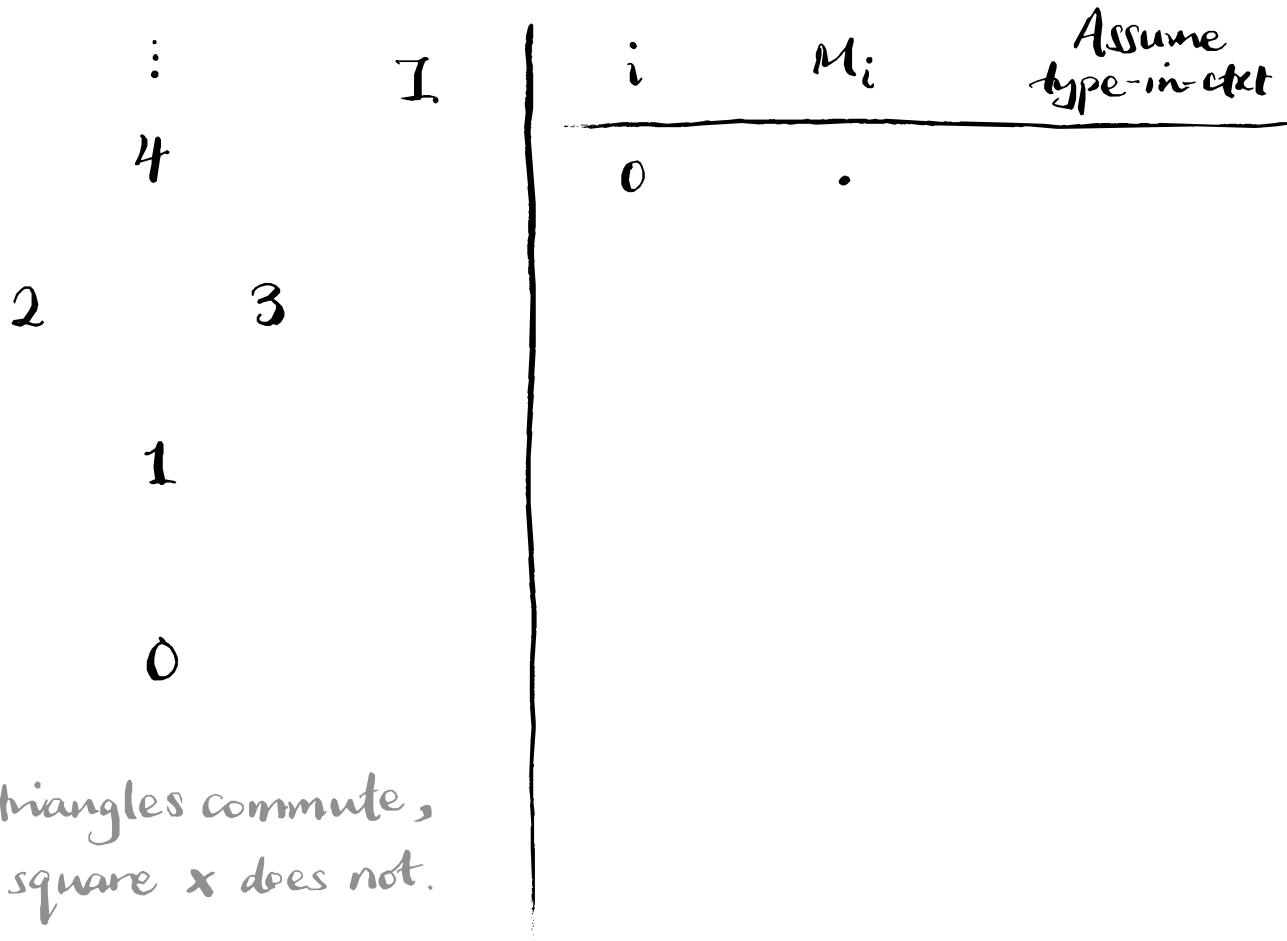


I

i	M_i	Assume type-in-ctx

All triangles commute,
the square x does not.

Simple I encode dependency contexts, inductively.



Simple I encode dependency contexts, inductively.

i	M_i	Assume type-in-cxt
0	.	$\vdash A_0$
1		

Diagram showing dependencies between indices:

- Indices 0, 1, 2, 3, 4 are arranged in a row.
- Index 0 is above index 1.
- Index 2 is below index 1.
- Index 3 is below index 2.
- Index 4 is above index 3.
- Index 0 is above index 4.
- Index 1 is above index 0.
- Index 2 is above index 1.
- Index 3 is above index 2.
- Index 4 is above index 3.
- Index 0 is below index 2.
- Index 1 is below index 3.
- Index 2 is below index 4.
- Index 3 is below index 0.
- Index 4 is below index 1.

Handwritten note below the diagram:

All triangles commute,
the square x does not.

Simple I encode dependency contexts, inductively.

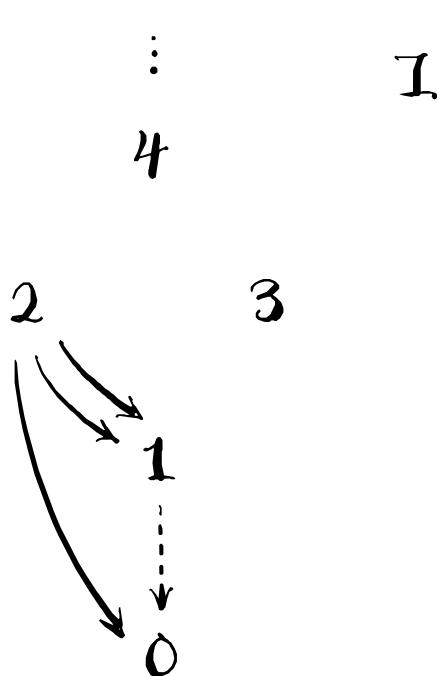
i	M_i	Assume type-in-cxt
0	.	$\vdash A_0$
1	$x : A_0$	

Diagram showing commutative triangles:

- Triangle 1: Top-left node (labeled 4) is at the top, bottom-left node (labeled 0) is at the bottom, and right node (labeled 1) is to the right. The left edge is labeled x .
- Triangle 2: Top-right node (labeled 1) is at the top, bottom-right node (labeled 0) is at the bottom, and left node (labeled 3) is to the left. The top edge is labeled x .
- Triangle 3: Top-left node (labeled 2) is at the top, bottom-left node (labeled 0) is at the bottom, and right node (labeled 1) is to the right. The left edge is labeled x .

All triangles commute, the square x does not.

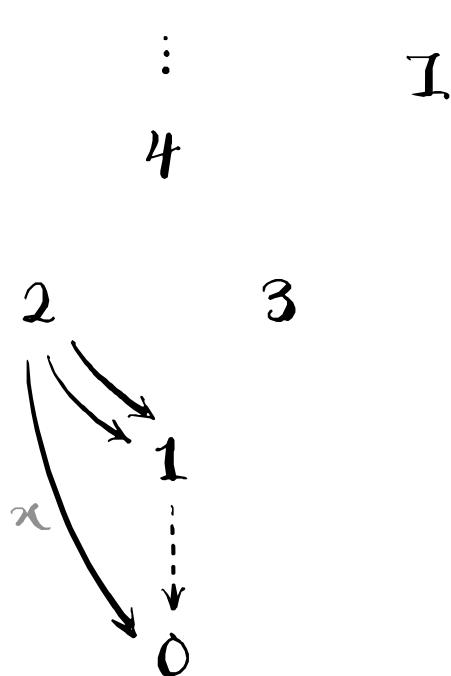
Simple I encode dependency contexts, inductively.



i	M_i	Assume type-in-cxt
0	.	$\vdash A_0$
1	$x : A_0$	$\vdash A_1$
2		

All triangles commute,
the square x does not.

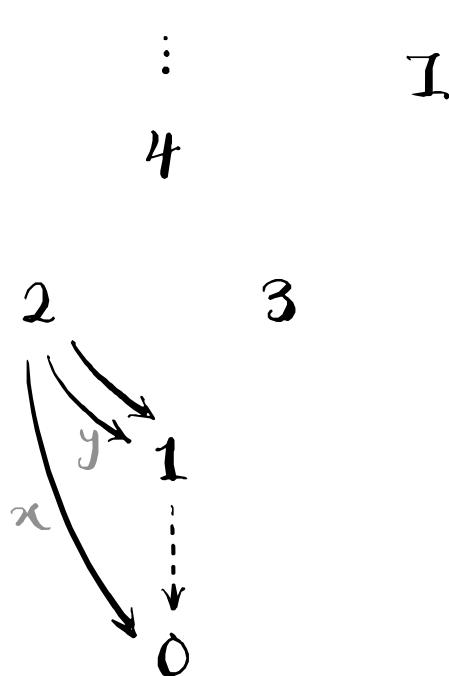
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0	.	$\vdash A_0$
1	$x : A_0$	$\vdash A_1$
2	$x : A_0$	

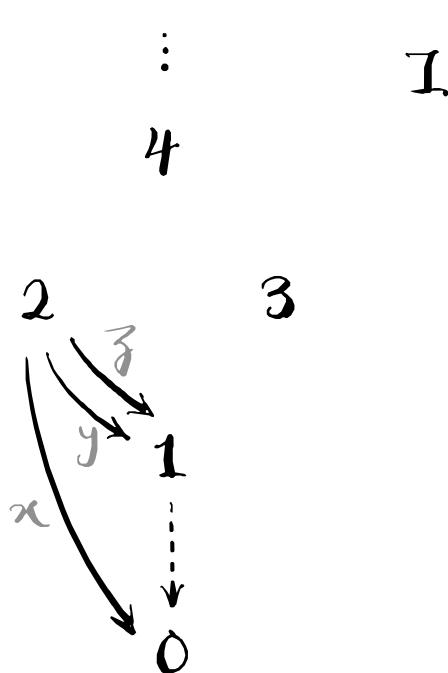
Simple I encode dependency contexts, inductively.



All triangles commute,
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i	M_i	Assume type-in-cxt
0	.	$\vdash A_0$
1	$x : A_0$	$\vdash A_1$
2	$x : A_0,$ $y : A_1(x)$	

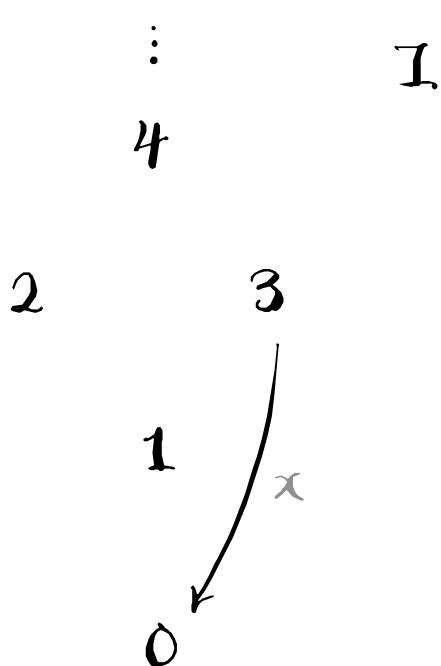
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1	$x : A_0$	$\vdash A_1$
2	$x : A_0,$ $y : A_1(x),$ $z : A_1(x)$	

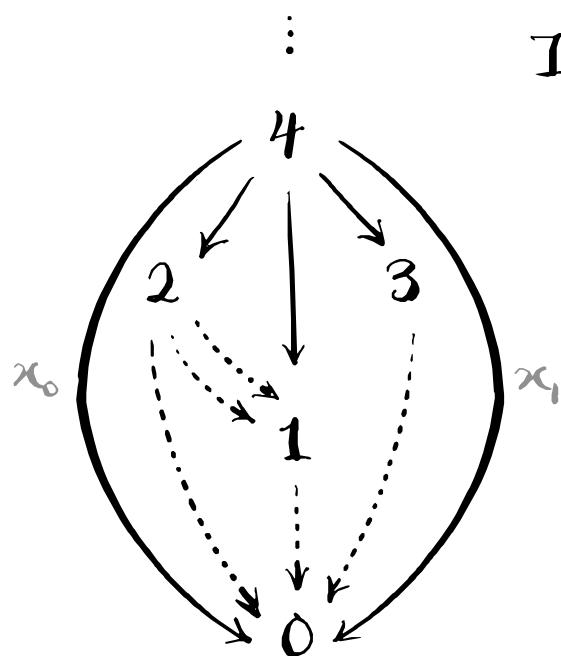
Simple I encode dependency contexts, inductively.



i	M_i	Assume type-in-cxt
0	.	$\vdash A_0$
1	$x : A_0$	$\vdash A_1$
2	$x : A_0,$ $y : A_1(x),$ $z : A_1(x)$	$\vdash A_2$
3	$x : A_0$	

All triangles commute,
the square x does not.

Simple I encode dependency contexts, inductively.

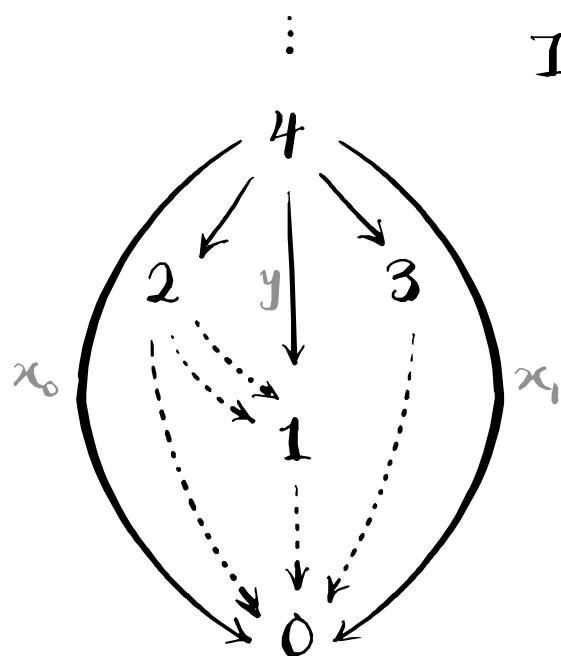


All triangles commute,
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I

i	M_i	Assume type-in-cxt
0	.	$\vdash A_0$
1	$x : A_0$	$\vdash A_1$
2	$x : A_0,$ $y : A_1(x),$ $z : A_1(x)$	$\vdash A_2$
3	$x : A_0$	$\vdash A_3$
4	$x_0, x_1 : A_0$	

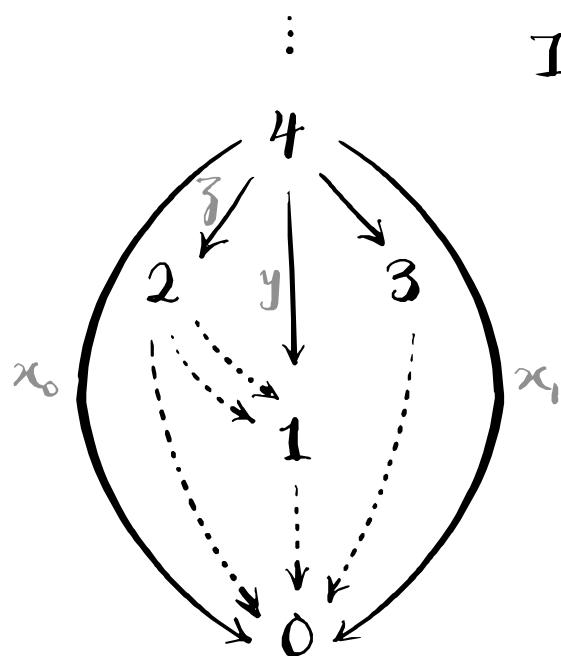
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i	M_i	Assume type-in-cxt
0	.	$\vdash A_0$
1	$x : A_0$	$\vdash A_1$
2	$x : A_0,$ $y : A_1(x),$ $z : A_1(x)$	$\vdash A_2$
3	$x : A_0$	$\vdash A_3$
4	$x_0, x_1 : A_0,$ $y : A_1(x_0)$	

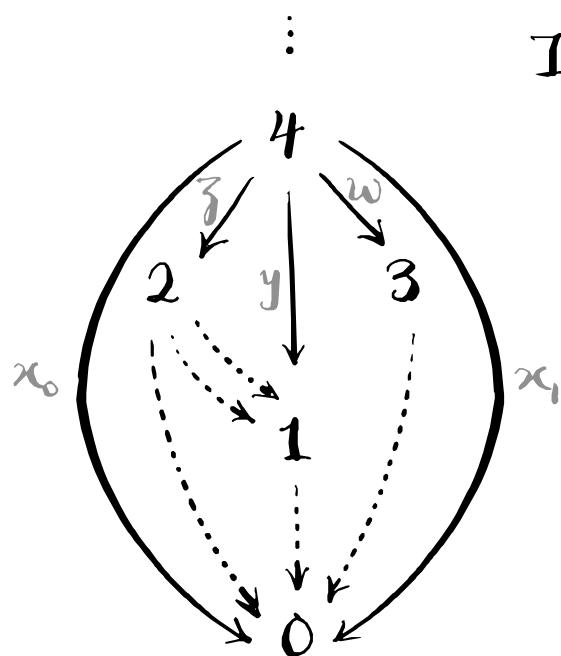
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All triangles commute,
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i	M_i	Assume type-in-cxt
0	.	$\vdash A_0$
1	$x : A_0$	$\vdash A_1$
2	$x : A_0,$ $y : A_1(x),$ $z : A_1(x)$	$\vdash A_2$
3	$x : A_0$	$\vdash A_3$
4	$x_0, x_1 : A_0,$ $y : A_1(x_0),$ $z : A_2(x_0, y, y)$	

Simple I encode dependency contexts, inductively.

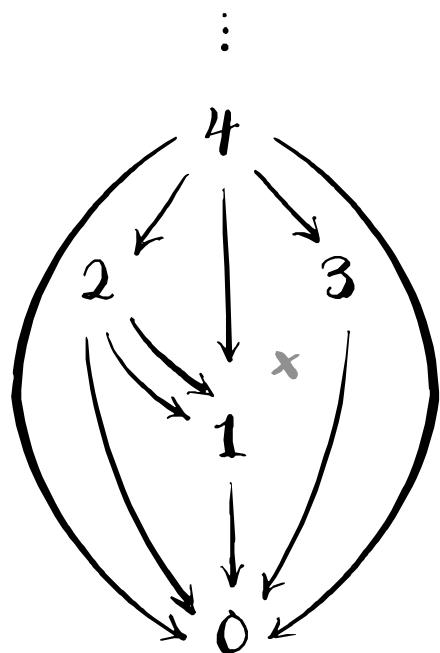


All triangles commute,
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I

i	M_i	Assume type-in-cxt
0	.	$\vdash A_0$
1	$x : A_0$	$\vdash A_1$
2	$x : A_0,$ $y : A_1(x),$ $z : A_1(x)$	$\vdash A_2$
3	$x : A_0$	$\vdash A_3$
4	$x_0, x_1 : A_0,$ $y : A_1(x_0),$ $z : A_2(x_0, y, y),$ $w : A_3(x_1)$	

Simple I encode dependency contexts, inductively.



I

i	M_i	Assume type-in-cxt
0	.	$\vdash A_0$
1	$x : A_0$	$\vdash A_1$
2	$x : A_0,$ $y : A_1(x),$ $z : A_1(x)$	$\vdash A_2$
3	$x : A_0$	$\vdash A_3$
4	$x_0, x_1 : A_0,$ $y : A_1(x_0),$ $z : A_2(x_0, y, y),$ $w : A_3(x_1)$	$\vdash A_4$

All triangles commute,
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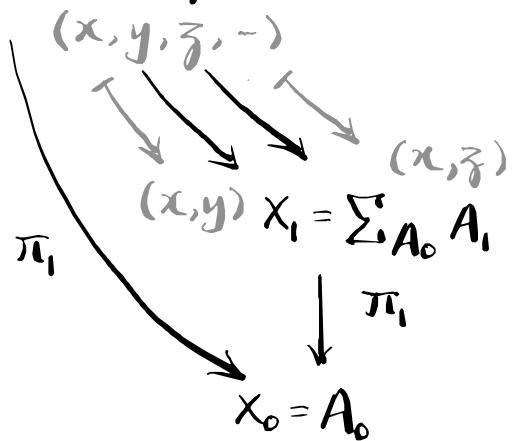
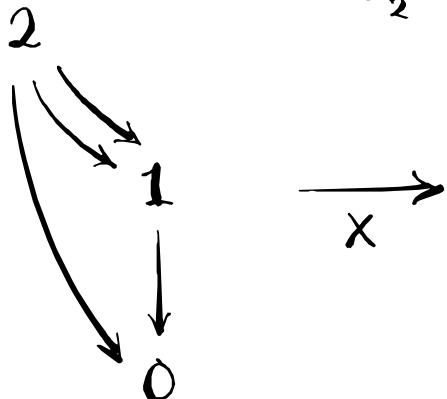
Get the image of an inverse diagram

$$X : I \rightarrow \text{Type}$$

by taking Σ .

i	M_i	Assume type-in-cxt
0	.	$\vdash A_0$
1	$x : A_0$	$\vdash A_1$
2	$x : A_0,$ $y : A_1(x),$ $z : A_1(x)$	$\vdash A_2$

$$X_2 = \sum (x : A_0)(y : A_1(x))(z : A_1(x)) . A_2(x, y, z)$$

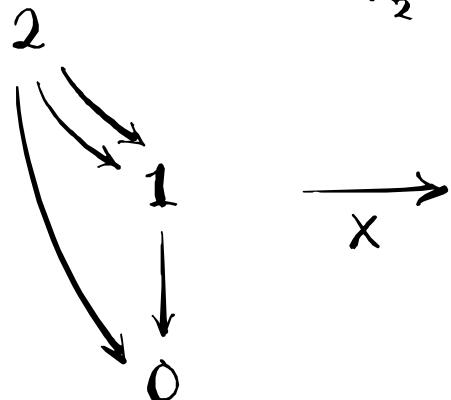


i M_i *Assume type-in ctxt*

0	.	$\vdash A_0$
1	$x : A_0$	$\vdash A_1$
2	$x : A_0,$ $y : A_1(x),$ $z : A_1(x)$	$\vdash A_2$

Matching objects (contexts)
of “Reedy fibrant” X

$$X_2 = \sum (x : A_0)(y : A_1(x))(z : A_1(x)). A_2(x, y, z)$$



$$\begin{aligned} & (x, y, z, \sim) \\ & \downarrow \quad \downarrow \quad \downarrow \\ & (x, y) \quad X_1 = \sum_{A_0} A_1 \\ & \downarrow \pi_1 \qquad \downarrow \pi_1 \\ & x_0 = A_0 \end{aligned}$$

Inverse diagrams

$$X : I \rightarrow \mathcal{C}$$

Frequently :

- (Classical) mathematical metatheory
- $\mathcal{C} = \text{Set}$.

We want :

- Homotopical type theory
(incl. MLTT w/o UIP)
- \mathcal{C} a wild category
(i.e. precategory w/ hom-types)

Inverse diagrams

$$X : I \rightarrow \mathcal{C}$$

Frequently :

- (Classical) mathematical metatheory
- $\mathcal{C} = \text{Set}$.

We want :

- Homotopical type theory
(incl. MLTT w/o UIP)
- \mathcal{C} a wild category
(e.g. $\mathcal{C} = \mathcal{U}$, wild category of \mathcal{U} -small types & functions)

Why? Internalize!

- ↗ Internal metatheory of TT w/o UIP
- ↗ Higher categorical structures in HoTT

Technical goal

Given “nice enough”

- ◊ simple inverse category \mathcal{I}
- ◊ wild category \mathcal{E} w/ a (π, u) -cnf structure
(*)

in HOTT,

inductively define matching objects M_i
of diagrams $\mathcal{I} \rightarrow \mathcal{E}$.

Technical goal

Given “nice enough”

- ◊ simple inverse category \mathcal{I} (strictly oriented)
- ◊ wild category \mathcal{E} w/ a (π, u) -cwf structure^(*)
in HoTT,
 \dots set-truncated?
Uniformly coherent?)

inductively define matching objects M_i
of diagrams $\mathcal{I} \rightarrow \mathcal{E}$.

M_i are indexed over \mathbb{I}_I ,
which has a filtration by linear cosieves

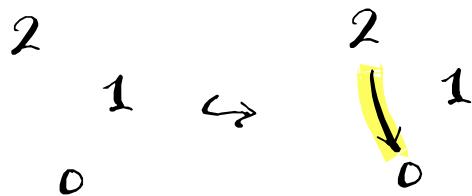
2

1

0

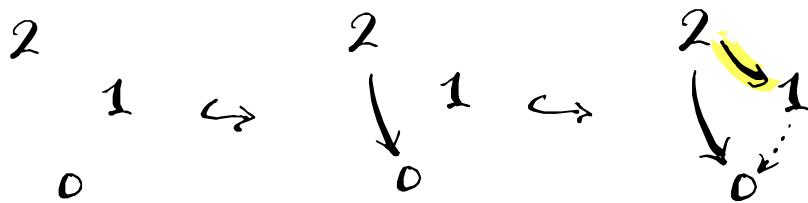
<u>i</u>	<u>M_i</u>	<u>Assume</u>
0	.	$\vdash A_0$
1	$x:A_0$	$\vdash A_1$
2		

M_i are indexed over \mathbb{I}_1 ,
 which has a filtration by linear cosieves



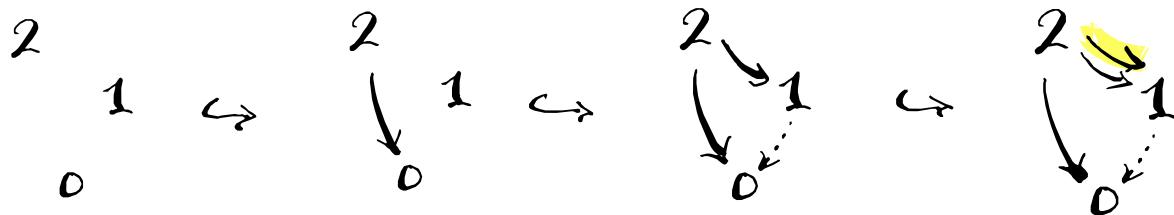
i	M_i	Assume
0	.	$\vdash A_0$
1	$x:A_0$	$\vdash A_1$
2		$x:A_0$

M_i are indexed over \mathcal{I}_1 ,
which has a filtration by linear cosieves



i	M_i	Assume
0	.	$\vdash A_0$
1	$x : A_0$	$\vdash A_1$
	$x : A_0,$	
2	$y : A_1(x)$	

M_i are indexed over $\frac{1}{I}$,
 which has a filtration by linear cosieves



<u>i</u>	<u>M_i</u>	<u>Assume</u>
0	.	$\vdash A_0$
1	$x: A_0$	$\vdash A_1$
2	$x: A_0,$ $y: A_1(x),$ $z: A_1(x)$	

Facts

- ◊ \mathcal{I}/\mathcal{I} has a filtration by linear cosieves
- ◊ linear cosieves of strictly oriented \mathcal{I} form a (strict) category $\mathcal{L}_{\mathcal{I}}$ with a split bifibration $p: \mathcal{L}_{\mathcal{I}} \rightarrow \mathcal{I}$

$$\begin{array}{ccc}
 \mathcal{L}_{\mathcal{I}} & (i, S, b) \xrightarrow{\bar{f}} & (j, T, c) \\
 p \downarrow & \downarrow i & \downarrow j \\
 \mathcal{I} & i \xrightarrow{f} j &
 \end{array}
 \quad
 \begin{array}{l}
 b \geq \text{height } S \\
 c \geq \text{height } T
 \end{array}
 \quad
 \bar{f} = \left\{ \begin{array}{l} f: \mathcal{I}(i, j) \\ p: T \subseteq S \cdot f \\ u: b \geq c \end{array} \right\}$$

Intuition:



Matching objects of diagrams $I \rightarrow \mathcal{C}$
should arise as “sufficiently coherent”
wild functors $M: \mathcal{L}_I \rightarrow \mathcal{C}$.

◊ Thm/Construction

(WIP, implementing
in Agda)

Given strictly oriented I and
sufficiently coherent wild \mathcal{C} w/ (Π, \mathcal{U}) -awf structure,
there is a (strict) category Sh_I such that

1. Sh_I embeds into \mathcal{L}_I via a functor i which
 - is injective on objects
 - hits $(i, i/I, i-1) : (\mathcal{L}_I)_0$ for each $i : I_0$
 - sends arrows to opcartesian lifts of $p : \mathcal{L}_I \rightarrow I$
2. by induction on $(\text{Sh}_I)_0$ we simultaneously define
 - the type of a generic diagram $X : I \rightarrow \mathcal{C}$
 - a wild functor $M : \text{Sh}_I \rightarrow \mathcal{C}$
such that $M[i^*(i, i/I, i-1)]$ is
the matching obj. M_i of X .

github.com/jaycech3n/internal-diagrams

Current Questions

- (?) "Sufficiently coherent" wild structure
 - "Set-truncated" certainly suffices
 - So far have not yet needed to truncate...
at which point will we be forced to?
- (?) Correct induction principle on $(\text{Sh}_1)_0$
 - Initial mutually inductive def. not structurally decreasing (not accepted by Agda).
 - Related to how we choose to define
 Sh_1 , L_1 and $i: \text{Sh}_1 \hookrightarrow L_1$.

Appendix

Def. A simple cat is strictly oriented if :

- $I(i,j)$ is ordered ($<$) for all i,j .
- For $f: I(i,j)$,
 - $\circ f: I(j,k) \rightarrow I(i,k)$is strictly monotone.

Def. The height of a cosieve S under $i: I_0$ in \mathcal{I} .
is the largest $h: I_0$ such that

$$S \cap I(i,h)$$

is inhabited.

Def. A cosieve S under i of height h is
linear if :

- For all $j : I_0$ where $j < h$,
 $I(i,j) \subset S$.
- $S \cap I(i,h)$ is a $<$ -prefix of $I(i,h)$.