

Generic pattern unification

Ambroise Lafont Neel Krishnaswami

University of Cambridge

A quick introduction to unification

$$\underbrace{t} \stackrel{?}{=} \underbrace{u}$$

terms with metavariables M, N, \dots

Unifier = metavariable substitution σ s.t.

$$t[\sigma] = u[\sigma]$$

Most general unifier = unifier σ that uniquely factors any other

$$\forall \delta, \quad t[\delta] = u[\delta] \quad \Leftrightarrow \quad \exists! \delta'. \quad \delta = \delta' \circ \sigma$$

Goal of unification = find the most general unifier

A quick introduction to unification

$$\underbrace{t} \stackrel{?}{=} \underbrace{u}$$

terms with metavariables M, N, \dots

Unifier = metavariable substitution σ s.t.

$$t[\sigma] = u[\sigma]$$

Most general unifier = unifier σ that uniquely factors any other

$$\forall \delta, \quad t[\delta] = u[\delta] \quad \Leftrightarrow \quad \exists! \delta'. \quad \delta = \delta' \circ \sigma$$

Goal of unification = find the most general unifier

Where is unification used?

First-order unification

No metavariable argument

Examples

- Logic programming (Prolog)
- ML type inference systems

$$(M \rightarrow N) \stackrel{?}{=} (\mathbb{N} \rightarrow M)$$

Second-order unification

$M(\dots)$

Examples

- λ -Prolog
- Type theory, proof assistants

$$(\forall x.M(x, u)) \stackrel{?}{=} t$$

Undecidable

Pattern unification [Miller '91]

A **decidable** fragment of second-order unification.

Pattern restriction:

$$M(\underbrace{x_1, \dots, x_n}_{\text{distinct variables}})$$

\exists unification algorithm [Miller '91]

- fails if no unifier
- returns the most general unifier
- linear complexity [Qian '96]

Pattern unification [Miller '91]

A **decidable** fragment of second-order unification.

Pattern restriction:

$$M(\underbrace{x_1, \dots, x_n}_{\text{distinct variables}})$$

\exists unification algorithm [Miller '91]

- fails if no unifier
- returns the most general unifier
- linear complexity [Qian '96]

This work

A generic algorithm for pattern unification

- Parameterised by a custom notion of *signature*
- Categorical semantics

Examples

- *binding signatures*
- Ordered syntax
- Intrinsic system F

See our preprint.

Related work: algebraic accounts of unification

First-order unification

- Lattice theory [Plotkin '70]
- Category theory
 - [Rydeheard-Burstall '88]
 - [Goguen '89]

Pattern unification

- Category theory
 - [Vezzosi-Abel '14]
normalised λ -terms
 - [This work](#)

Outline

- 1 Pattern unification for pure λ -calculus
 - Syntax
 - Unification algorithm
- 2 Generalised binding signatures
- 3 Categorical semantics
 - A case study: syntax of pure λ -calculus
 - Generic pattern unification
- 4 Example: System F

Outline

- 1 Pattern unification for pure λ -calculus
 - Syntax
 - Unification algorithm
- 2 Generalised binding signatures
- 3 Categorical semantics
 - A case study: syntax of pure λ -calculus
 - Generic pattern unification
- 4 Example: System F

Outline

- 1 Pattern unification for pure λ -calculus
 - Syntax
 - Unification algorithm
- 2 Generalised binding signatures
- 3 Categorical semantics
 - A case study: syntax of pure λ -calculus
 - Generic pattern unification
- 4 Example: System F

Syntax (De Bruijn levels)

Metavariable context $(M_1 : m_1, \dots)$

$$\overbrace{\Gamma}^{\text{Metavariable context}} ; \underbrace{n}_{\text{Variable context}} \vdash t$$

$$\frac{1 \leq i \leq n}{\Gamma; n \vdash v_i} \text{VAR}$$

$$\frac{\Gamma; n \vdash t \quad \Gamma; n \vdash u}{\Gamma; n \vdash t u} \text{APP}$$

$$\frac{\Gamma; n + 1 \vdash t}{\Gamma; n \vdash \lambda t} \text{ABS}$$

$$\frac{(M : m) \in \Gamma \quad 1 \leq i_1, \dots, i_m \leq n \quad i_1, \dots, i_m \text{ distinct}}{\Gamma; n \vdash M(v_{i_1}, \dots, v_{i_m})} \text{FLEX}$$

No β/η -equation.

Metavariable substitution

Substitution σ from $\overbrace{(M_1 : m_1, \dots, M_p : m_p)}^\Gamma$ to Δ :

$$(\sigma_1, \dots, \sigma_p) \quad \text{s.t.} \quad \Delta; m_i \vdash \sigma_i$$

Notation

$$M_i(v_1, \dots, v_{m_i}) \mapsto \sigma_i$$

Term substitution

$$\Gamma; n \vdash t \quad \mapsto \quad \Delta; n \vdash t[\sigma]$$

Base case:

$$M_i(x_1, \dots, x_{m_i}) \mapsto \sigma_i[v_j \mapsto x_j]$$

Metavariable substitution

Substitution σ from $\overbrace{(M_1 : m_1, \dots, M_p : m_p)}^\Gamma$ to Δ :

$$(\sigma_1, \dots, \sigma_p) \quad \text{s.t.} \quad \Delta; m_i \vdash \sigma_i$$

Notation

$$M_i(v_1, \dots, v_{m_i}) \mapsto \sigma_i$$

Term substitution

$$\Gamma; n \vdash t \quad \mapsto \quad \Delta; n \vdash t[\sigma]$$

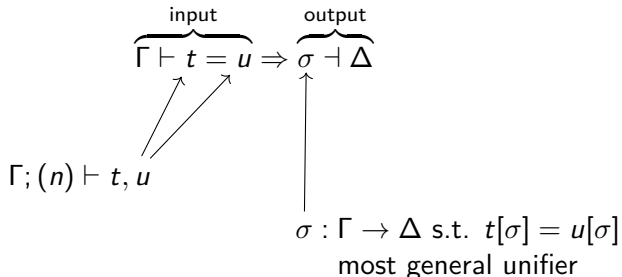
Base case:

$$M_i(x_1, \dots, x_{m_i}) \mapsto \sigma_i[v_j \mapsto x_j]$$

Outline

- 1 Pattern unification for pure λ -calculus
 - Syntax
 - Unification algorithm
- 2 Generalised binding signatures
- 3 Categorical semantics
 - A case study: syntax of pure λ -calculus
 - Generic pattern unification
- 4 Example: System F

Unification algorithm



Examples

$$\Gamma, M : 2 \vdash M(x, y) = x \Rightarrow (M(v_1, v_2) \mapsto v_1) \dashv \Gamma$$

$$\Gamma, M : 2 \vdash M(x, y) = y \Rightarrow (M(v_1, v_2) \mapsto v_2) \dashv \Gamma$$

Impossible cases

$$\Gamma \vdash \lambda t = u_1 u_2 \Rightarrow ! \vdash \perp$$

formal "error" context

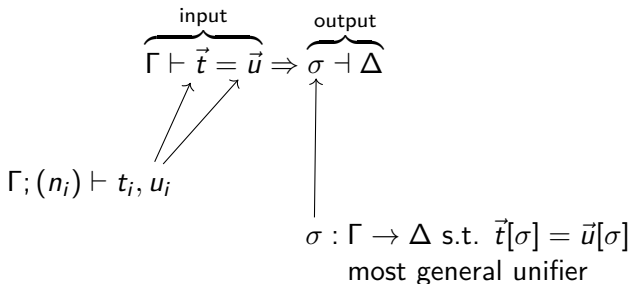
formal "error" substitution

Congruence

$$\frac{\Gamma \vdash t = u \Rightarrow \sigma \vdash \Delta}{\Gamma \vdash \lambda t = \lambda u \Rightarrow \sigma \vdash \Delta}$$

$$\frac{\Gamma \vdash "t_1, t_2 = u_1, u_2" \Rightarrow \sigma \vdash \Delta}{\Gamma \vdash t_1 \ t_2 = u_1 \ u_2 \Rightarrow \sigma \vdash \Delta}$$

Unifying lists of terms



Sequential unification

$$\frac{\Gamma \vdash t_1 = u_1 \Rightarrow \sigma_1 \dashv \Delta_1 \quad \Delta_1 \vdash \vec{t}_2[\sigma_1] = \vec{u}_2[\sigma_1] \Rightarrow \sigma_2 \dashv \Delta_2}{\Gamma \vdash t_1, \vec{t}_2 = u_1, \vec{u}_2 \Rightarrow \sigma_2 \circ \sigma_1 \dashv \Delta_2} \text{U-SPLIT}$$

Unifying a metavariable $M(\vec{x}) \stackrel{?}{=} \dots$

Three cases

- 1 $M(\vec{x}) \stackrel{?}{=} M(\vec{y})$
- 2 $M(\vec{x}) \stackrel{?}{=} \dots M(\vec{y}) \dots$
- 3 $M(\vec{x}) \stackrel{?}{=} u$ and $M \notin u$ (non-cyclic)

Unifying a metavariable with itself

$$M(x_1, \dots, x_m) \stackrel{?}{=} M(y_1, \dots, y_m)$$

Most general unifier

\vec{p} = vector of common positions: $(x_{p_1}, \dots, x_{p_n}) = (y_{p_1}, \dots, y_{p_n})$

$$\sigma : M(v_1, \dots, v_m) \mapsto N(v_{p_1}, \dots, v_{p_n})$$

Examples

$$\begin{array}{l} \vec{p} = (2) \\ \underbrace{M(x, y) = M(z, y)}_{\vec{p} = (2)} \Rightarrow M(v_1, v_2) \mapsto N(v_2) \\ \underbrace{M(x, y) = M(z, x)}_{\vec{p} = ()} \Rightarrow M(v_1, v_2) \mapsto N \end{array}$$

Unifying a metavariable with itself

$$M(x_1, \dots, x_m) \stackrel{?}{=} M(y_1, \dots, y_m)$$

Most general unifier

\vec{p} = vector of common positions: $(x_{p_1}, \dots, x_{p_n}) = (y_{p_1}, \dots, y_{p_n})$

$$\sigma : M(v_1, \dots, v_m) \mapsto N(v_{p_1}, \dots, v_{p_n})$$

Examples

$$\begin{array}{l} \vec{p} = (2) \\ \underbrace{M(x, y) = M(z, y)}_{\vec{p} = (2)} \Rightarrow M(v_1, v_2) \mapsto N(v_2) \\ \underbrace{M(x, y) = M(z, x)}_{\vec{p} = ()} \Rightarrow M(v_1, v_2) \mapsto N \end{array}$$

Deep cyclic case

$$M(\vec{x}) \stackrel{?}{=} \dots M(\vec{y}) \dots$$

No unifier

$$\underbrace{M(\vec{x})} = \dots \underbrace{M(\vec{y})} \dots \Rightarrow ! \vdash \perp$$

sizes cannot match after substitution

Non-cyclic case

$$M(\vec{x}) \stackrel{?}{=} u \quad (M \notin u) \tag{1}$$

Most general unifier

(1) as the definition of M :

$$\sigma : M(v_1, \dots, v_m) \mapsto u[x_i \mapsto v_i]$$

Side condition

$$fv(u) \subset \vec{x}$$

$M(x) \stackrel{?}{=} y$ has no unifier ($x \neq y$)

Non-cyclic case

$$M(\vec{x}) \stackrel{?}{=} u \quad (M \notin u) \tag{1}$$

Most general unifier

(1) as the definition of M :

$$\sigma : M(v_1, \dots, v_m) \mapsto u[x_i \mapsto v_i]$$

Side condition

$$fv(u) \subset \vec{x}$$

$M(x) \stackrel{?}{=} y$ has no unifier ($x \neq y$)

Non-cyclic case

$$M(\vec{x}) \stackrel{?}{=} u \quad (M \notin u) \quad (1)$$

Most general unifier

(1) as the definition of M :

$$\sigma : M(v_1, \dots, v_m) \mapsto u[x_i \mapsto v_i]$$

Side condition

$$fv(u) \subset \vec{x}$$

$M(x) \stackrel{?}{=} y$ has no unifier ($x \neq y$)

Pruning

What about $M(x) \stackrel{?}{=} \underbrace{N(x, y)}_u$?

Most general unifier

$$N(v_1, v_2) \mapsto M(v_1)$$

- Side-condition $fv(u) \subset \vec{x}$ is too pessimistic.
- Can be enforced by restricting metavariable arities in u .

$$N(x, y) \xrightarrow{\text{pruning}} N'(x)$$

Pruning

What about $M(x) \stackrel{?}{=} \underbrace{N(x, y)}_u$?

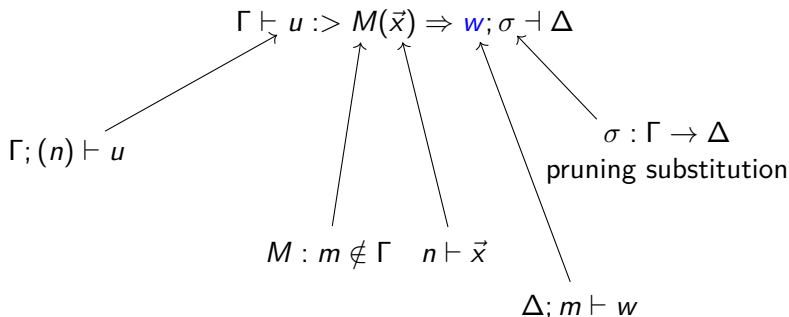
Most general unifier

$$N(v_1, v_2) \mapsto M(v_1)$$

- Side-condition $fv(u) \subset \vec{x}$ is too pessimistic.
- Can be enforced by restricting metavariable arities in u .

$$N(x, y) \xrightarrow{\text{pruning}} N'(x)$$

Non-cyclic phase



Formal meaning

$(\sigma, M(v_1, \dots, v_m) \mapsto w) = \text{most general unifier for } M(\vec{x}) \stackrel{?}{=} u$

Pruning a variable

$$\frac{y \notin \vec{x}}{\Gamma \vdash y := M(\vec{x}) \Rightarrow !! \dashv \perp} \text{VAR-FAIL}$$

$$\overline{\Gamma \vdash x_i := M(\vec{x}) \Rightarrow v_i; id_{\Gamma} \dashv \Gamma}$$

Pruning a metavariable

$$M(\vec{x}) \stackrel{?}{=} N(\vec{y}) \quad (M \neq N)$$

Most general unifier

\vec{l}, \vec{r} = vectors of common value positions:

$$(x_{l_1}, \dots, x_{l_p}) = (y_{r_1}, \dots, y_{r_p})$$

Then,

$$M(v_1, \dots, v_m) \mapsto P(v_{l_1}, \dots, v_{l_p})$$

$$N(v_1, \dots, v_n) \mapsto P(v_{r_1}, \dots, v_{r_p})$$

Examples

$$M(x, y) = N(z, x) \Rightarrow \begin{array}{l} M(v_1, v_2) \mapsto P(v_1) \\ N(v_1, v_2) \mapsto P(v_2) \end{array}$$

$$M(x, y) = N(z) \Rightarrow M(v_1, v_2), N(v_1) \mapsto P$$

Pruning a metavariable

$$M(\vec{x}) \stackrel{?}{=} N(\vec{y}) \quad (M \neq N)$$

Most general unifier

\vec{l}, \vec{r} = vectors of common value positions:

$$(x_{l_1}, \dots, x_{l_p}) = (y_{r_1}, \dots, y_{r_p})$$

Then,

$$M(v_1, \dots, v_m) \mapsto P(v_{l_1}, \dots, v_{l_p})$$

$$N(v_1, \dots, v_n) \mapsto P(v_{r_1}, \dots, v_{r_p})$$

Examples

$$M(x, y) = N(z, x) \quad \Rightarrow \quad \begin{array}{l} M(v_1, v_2) \mapsto P(v_1) \\ N(v_1, v_2) \mapsto P(v_2) \end{array}$$

$$M(x, y) = N(z) \quad \Rightarrow \quad M(v_1, v_2), N(v_1) \mapsto P$$

Pruning operations

$$o(\vec{t}) \stackrel{?}{=} M(\vec{x}) \quad (M \notin \vec{t})$$

Divide & Conquer: a fresh metavariable for each argument.

$$\frac{\Gamma \vdash t :> M'(\vec{x}, \overbrace{v_{n+1}}^{\text{bound variable}}) \Rightarrow w; \sigma \vdash \Delta}{\Gamma \vdash \lambda t :> M(\vec{x}) \Rightarrow \lambda w; \sigma \vdash \Delta} \quad M = \lambda M'$$

$$\frac{\text{"}\Gamma \vdash t, u :> M_1(\vec{x}), M_2(\vec{x}) \Rightarrow w_1, w_2; \sigma \vdash \Delta\text{"}}{\Gamma \vdash t u :> M(\vec{x}) \Rightarrow w_1 w_2; \sigma \vdash \Delta} \quad M = M_1 M_2$$

Pruning operations

$$o(\vec{t}) \stackrel{?}{=} M(\vec{x}) \quad (M \notin \vec{t})$$

Divide & Conquer: a fresh metavariable for each argument.

$$\frac{\Gamma \vdash t :> M'(\vec{x}, \overbrace{v_{n+1}}^{\text{bound variable}}) \Rightarrow w; \sigma \vdash \Delta}{\Gamma \vdash \lambda t :> M(\vec{x}) \Rightarrow \lambda w; \sigma \vdash \Delta} \quad M = \lambda M'$$

$$\frac{\text{"}\Gamma \vdash t, u :> M_1(\vec{x}), M_2(\vec{x}) \Rightarrow w_1, w_2; \sigma \vdash \Delta\text{"}}{\Gamma \vdash t u :> M(\vec{x}) \Rightarrow w_1 w_2; \sigma \vdash \Delta} \quad M = M_1 M_2$$

Non-cyclic unification of multi-terms

$$\Gamma \vdash u_1, \dots, u_n :> M_1(\vec{x}_1), \dots, M_n(\vec{x}_n) \Rightarrow w_1, \dots, w_n; \sigma \vdash \Delta$$

$\Gamma; (n_i) \vdash u_i$ $\Delta; m_i \vdash w_i$
 $(M_i : m_i) \notin \Gamma$ $\sigma : \Gamma \rightarrow \Delta$

Formal meaning

$(\sigma, M_i(v_1, \dots, v_{m_i}) \mapsto w_i) =$ most general unifier for

$$M_1(\vec{x}_1), \dots, M_n(\vec{x}_n) \stackrel{?}{=} u_1, \dots, u_n$$

Sequential non-cyclic unification

$$\frac{\Gamma \vdash t_1 :> M_1(\vec{x}) \Rightarrow u_1; \sigma_1 \dashv \Delta_1 \quad \Delta_1 \vdash \vec{t}_2[\sigma_1] :> \vec{M}_2 \Rightarrow \vec{u}_2; \sigma_2 \dashv \Delta_2}{\Gamma \vdash t_1, \vec{t}_2 :> M_1(\vec{x}), \vec{M}_2 \Rightarrow u_1[\sigma_2], \vec{u}_2; \sigma_1[\sigma_2] \dashv \Delta_2}$$


Outline

- 1 Pattern unification for pure λ -calculus
 - Syntax
 - Unification algorithm
- 2 Generalised binding signatures
- 3 Categorical semantics
 - A case study: syntax of pure λ -calculus
 - Generic pattern unification
- 4 Example: System F

Parameterisation by a *signature*

Binding signature for pure λ -calculus

$app : (0, 0)$ $abs : (1)$


number of bound variables in the argument

Example: $M(\vec{x}) \stackrel{?}{=} o(\vec{t})$, non-cyclic

$$o : (\bar{o}_1, \dots, \bar{o}_p)$$

$$\frac{\Gamma \vdash \vec{t} :> M_1(\vec{x}, \overbrace{v_{n+1}, \dots, v_{n+1+\bar{o}_1}}^{\text{bound variables}}), \dots, M_p(\dots) \Rightarrow \vec{u}; \sigma \dashv \Delta}{\Gamma \vdash o(\vec{t}) :> M(\vec{x}) \Rightarrow o(\vec{u}); \sigma \dashv \Delta}$$

Generalised binding signatures

Generalised binding signatures = our notion of signature for syntax with (pattern-restricted) metavariables.

Examples

- (Simply-typed) binding signatures
- Linearly ordered λ -calculus
- Intrinsic System F

Pruning operations

$$\frac{\Gamma \vdash \vec{t} :=> M_1(x_1^{o'}), \dots, M_n(x_n^{o'}) \Rightarrow \vec{u}; \sigma \vdash \Delta \quad o = o'\{x\}}{\Gamma \vdash o(\vec{t}) :=> M(x) \Rightarrow o'(\vec{u}); \sigma \vdash \Delta} \text{P-RIG}$$

$$\frac{o \neq \dots \{x\}}{\Gamma \vdash o(\vec{t}) :=> M(x) \Rightarrow !; ! \vdash \perp} \text{P-FAIL}$$

Outline

- 1 Pattern unification for pure λ -calculus
 - Syntax
 - Unification algorithm
- 2 Generalised binding signatures
- 3 Categorical semantics
 - A case study: syntax of pure λ -calculus
 - Generic pattern unification
- 4 Example: System F

Outline

- 1 Pattern unification for pure λ -calculus
 - Syntax
 - Unification algorithm
- 2 Generalised binding signatures
- 3 Categorical semantics
 - A case study: syntax of pure λ -calculus
 - Generic pattern unification
- 4 Example: System F

Pure λ -calculus as a functor

category of finite cardinals and injections between them



Pure λ -calculus as a functor $\Lambda : \mathbb{F}_m \rightarrow \text{Set}$

$$\Lambda_n = \{t \mid \cdot; n \vdash t\}$$

injective renaming

$$M(\vec{x})[\sigma] = \sigma_M \overbrace{[v_i \mapsto x_i]}$$

Pure λ -calculus as a fixpoint

$$\Lambda_n \cong \underbrace{\{v_1, \dots, v_n\}}_{\text{variables}} + \underbrace{\Lambda_n \times \Lambda_n}_{\text{application}} + \underbrace{\Lambda_{n+1}}_{\text{abstraction}}$$

In fact,

$$\Lambda = \mu X. F(X)$$



Initial algebra of the endofunctor F on $[\mathbb{F}_m, \text{Set}]$

$$F(X)_n = \{v_1, \dots, v_n\} + X_n \times X_n + X_{n+1}$$

Pure λ -calculus extended with a metavariable $M : m$

$$\Lambda_n^{M:m} = \{t \mid M : m; n \vdash t\}$$

As an initial algebra:

$$\begin{aligned} \Lambda^{M:m} &= \mu X. \left(\underbrace{F(X)}_{\text{operations / variables}} + \overbrace{\text{arg}^M}^{\text{metavariables}} \right) \\ &= \underbrace{T}_{\text{free monad generated by } F}(\text{arg}^M) \end{aligned}$$

Pure λ -calculus extended with a metavariable $M : m$

$$\Lambda_n^{M:m} = \{t \mid M : m; n \vdash t\}$$

As an initial algebra:

$$\begin{aligned} \Lambda^{M:m} &= \mu X. \left(\underbrace{F(X)}_{\text{operations / variables}} + \overbrace{\text{arg}^M}^{\text{metavariables}} \right) \\ &= \underbrace{T}_{\text{free monad generated by } F}(\text{arg}^M) \end{aligned}$$

Pure λ -calculus extended with a metavariable $M : m$

$$\mathit{arg}^M : \mathbb{F}_m \rightarrow \mathit{Set}$$

$$\begin{aligned} \mathit{arg}^M_n &= \{M\text{-arguments in the variable context } \mathbf{n}\} \\ &= \{\text{choice of } m \text{ distinct variables in the context } \mathbf{n}\} \\ &= \mathit{Inj}(m, n) \\ &= \mathit{hom}_{\mathbb{F}_m}(m, n) = ym_n \end{aligned}$$

$$\Lambda^{M:m} = T(ym)$$

Pure λ -calculus extended with a metavariable $M : m$

$$\mathit{arg}^M : \mathbb{F}_m \rightarrow \mathit{Set}$$

$$\begin{aligned} \mathit{arg}^M_n &= \{M\text{-arguments in the variable context } \mathbf{n}\} \\ &= \{\text{choice of } m \text{ distinct variables in the context } \mathbf{n}\} \\ &= \mathit{Inj}(m, n) \\ &= \mathit{hom}_{\mathbb{F}_m}(m, n) = ym_n \end{aligned}$$

$$\Lambda^{M:m} = T(ym)$$

Pure λ -calculus with metavariables

Given a metavariable context Γ , define


$$\underline{\Gamma} := \coprod_{(M:m) \in \Gamma} ym$$

$$T(\underline{\Gamma})_n = \{t \mid \Gamma; n \vdash t\}$$

Unification as a Kleisli coequaliser

Claims¹:

- $\text{hom}(yn, T\underline{\Gamma}) = \text{set of terms in context } \Gamma; n.$
- $\text{hom}(\underline{\Gamma}, T\underline{\Delta}) = \text{set of metavariable substitutions } \Gamma \rightarrow \Delta.$
- Most general unifier of t, u : coequaliser of $yn \xrightarrow[t]{u} T\underline{\Gamma}$
in $\text{MCon}(F) \subset \text{Kl}(T).$


 Objects: $\underline{\Gamma}, \underline{\Delta}, \dots$

$\text{MCon}(F)^{op}$ is a "non-free" Lawvere theory

¹well-known in the first-order case ('free' Lawvere theories).

Outline

- 1 Pattern unification for pure λ -calculus
 - Syntax
 - Unification algorithm
- 2 Generalised binding signatures
- 3 Categorical semantics
 - A case study: syntax of pure λ -calculus
 - Generic pattern unification
- 4 Example: System F

Endofunctor generated by a signature

A GB-signature (\mathcal{A}, O, α) generates an endofunctor on $[\mathcal{A}, \text{Set}]$ of the shape

$$F(X)_a = \coprod_{o \in O_n(a)} X_{\bar{o}_1} \times \cdots \times X_{\bar{o}_n}$$

Let T denote the free monad generated by F .

$$\underbrace{\Gamma; a \vdash t}_{M_1 : m_1, \dots, M_n : m_n} \quad \text{means} \quad t \in T(\underbrace{\Gamma}_{ym_1 + \dots + ym_n})_a$$

Endofunctor generated by a signature

A GB-signature (\mathcal{A}, O, α) generates an endofunctor on $[\mathcal{A}, \text{Set}]$ of the shape

$$F(X)_a = \prod_{o \in O_n(a)} X_{\bar{o}_1} \times \cdots \times X_{\bar{o}_n}$$

Let T denote the free monad generated by F .

$$\underbrace{\Gamma; a \vdash t}_{M_1 : m_1, \dots, M_n : m_n} \quad \text{means} \quad t \in T(\underbrace{\Gamma}_{ym_1 + \dots + ym_n})_a$$

Generic unification algorithm

Conditions

- ① All morphisms in \mathcal{A} are mono (pattern restriction)
- ② \mathcal{A} has equalisers and pullbacks (Cf \mathbb{F}_m)
- ③ If $X : \mathcal{A} \rightarrow \text{Set}$ preserves them, then $F(X)$ also does.

Claim: A coequaliser diagram in $\text{MCon}(F)$ has a colimit as soon as there exists a cocone (i.e., a ‘unifier’).

Getting rid of partiality

Equivalent claim:

$\text{MCon}_{\perp}(F)$ has coequalisers.

$\text{MCon}(F)$ extended with a free terminal object \perp

Proof: By describing a unification algorithm, constructing coequalisers in $\text{MCon}_{\perp}(F)$.

Generic unification algorithm

Conditions

- ① All morphisms in \mathcal{A} are mono (pattern restriction)
- ② \mathcal{A} has equalisers and pullbacks (Cf \mathbb{F}_m)
- ③ If $X : \mathcal{A} \rightarrow \text{Set}$ preserves them, then $F(X)$ also does.

Claim: A coequaliser diagram in $\text{MCon}(F)$ has a colimit as soon as there exists a cocone (i.e., a ‘unifier’).

Getting rid of partiality

Equivalent claim:

$\text{MCon}_{\perp}(F)$ has coequalisers.

$\text{MCon}(F)$ extended with a free terminal object \perp

Proof: By describing a unification algorithm, constructing coequalisers in $\text{MCon}_{\perp}(F)$.

Generic unification algorithm

Conditions

- ① All morphisms in \mathcal{A} are mono (pattern restriction)
- ② \mathcal{A} has equalisers and pullbacks (Cf \mathbb{F}_m)
- ③ If $X : \mathcal{A} \rightarrow \text{Set}$ preserves them, then $F(X)$ also does.

Claim: A coequaliser diagram in $\text{MCon}(F)$ has a colimit as soon as there exists a cocone (i.e., a ‘unifier’).

Getting rid of partiality

Equivalent claim:

$\underbrace{\text{MCon}_{\perp}(F)}_{\text{MCon}(F) \text{ extended with a free terminal object } \perp}$ has coequalisers.

Proof: By describing a unification algorithm, constructing coequalisers in $\text{MCon}_{\perp}(F)$.

Interpreting the unification statements

Notations

$$\Gamma \vdash t = u \Rightarrow \sigma \dashv \Delta \iff \cdot \begin{array}{c} \xrightarrow{t} \\ \xrightarrow{u} \end{array} \Gamma - \frac{\sigma}{\triangleright} \Delta \quad \text{coequaliser}$$

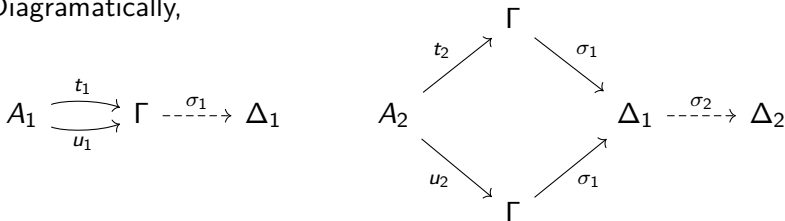
$$\Gamma \vdash t \triangleright f \Rightarrow v; \sigma \dashv \Delta \iff \begin{array}{ccc} \cdot & \xrightarrow{f} & \cdot \\ t \downarrow & & \downarrow v \\ \Gamma - \frac{\sigma}{\triangleright} \Delta & & \end{array} \quad \text{pushout}$$

mostly used in $\text{MCon}(F)_{\perp}$.

Soundness of U-SPLIT [Rydeheard-Burstall '88]

$$\frac{\Gamma \vdash t_1 = u_1 \Rightarrow \sigma_1 \dashv \Delta_1 \quad \Delta_1 \vdash t_2[\sigma_1] = u_2[\sigma_1] \Rightarrow \sigma_2 \dashv \Delta_2}{\Gamma \vdash t_1, t_2 = u_1, u_2 \Rightarrow \sigma_2 \circ \sigma_1 \dashv \Delta_2} \text{U-SPLIT}$$

Diagrammatically,



$$A_1 + A_2 \xrightarrow[u_1, u_2]{t_1, t_2} \Gamma \xrightarrow{\sigma_2 \circ \sigma_1} \Delta_2$$

Soundness of U-FLEXFLEX

$$\frac{b \vdash x = y \Rightarrow z \vdash c \text{ in } \mathcal{A}^{op}}{M : b \vdash M(x) = M(y) \Rightarrow M \mapsto M'(z) \vdash M' : c} \text{U-FLEXFLEX}$$

Diagrammatically,

$$\frac{a \xrightarrow[x]{y} b - \xrightarrow{z} c \quad \text{in } \mathcal{A}^{op}}{\mathcal{L}a \xrightarrow[\mathcal{L}y]{\mathcal{L}x} \mathcal{L}b - \xrightarrow{\mathcal{L}z} \mathcal{L}c \quad \text{in } \text{MCon}(F)}$$

where

$$a \xrightarrow{x} b \quad \xrightarrow{\mathcal{L} : \mathcal{A}^{op} \rightarrow \text{MCon}(F)} \quad ya \xrightarrow{"M(x)"} T(\underline{M : b})$$

Soundness of U-NOCYCLE

$$\frac{M \notin u \quad \Gamma \vdash u :> M(x) \Rightarrow w; \sigma \vdash \Delta}{\Gamma, M : m \vdash M(x) = u \Rightarrow \sigma, M \mapsto w \vdash \Delta} \text{U-NOCYCLE}$$

Diagrammatically,

$$\begin{array}{ccc} ya & \xrightarrow{\text{"}M(x)\text{"}} & yb \\ u \downarrow & & \downarrow w \\ \Gamma & \xrightarrow{\sigma} & \Delta \end{array} \quad \text{pushout}$$

$$\begin{array}{ccc} & & yb \\ & \nearrow \text{"}M(x)\text{"} & \searrow in_2 \\ ya & & \Gamma + yb \begin{array}{c} [w, \sigma] \\ - \quad - \\ \Rightarrow \Delta \end{array} \\ & \searrow u & \nearrow in_1 \\ & & \Gamma \end{array} \quad \text{coequaliser}$$

Outline

- 1 Pattern unification for pure λ -calculus
 - Syntax
 - Unification algorithm
- 2 Generalised binding signatures
- 3 Categorical semantics
 - A case study: syntax of pure λ -calculus
 - Generic pattern unification
- 4 Example: System F

Types

Notation

$n \vdash \tau$ type \Leftrightarrow the type τ is wellformed in context n

$$\frac{1 \leq i \leq n}{n \vdash \eta_i \text{ type}} \text{TYPE-VAR} \qquad \frac{n + 1 \vdash \tau \text{ type}}{n \vdash \forall \tau \text{ type}} \text{FORALL}$$

$$\frac{n \vdash \tau_1, \tau_2 \text{ type}}{n \vdash \tau_1 \rightarrow \tau_2 \text{ type}} \text{ARROW}$$

Metavariable arities

Metavariable application

$$M(\overbrace{\alpha_1, \dots, \alpha_p}^{\text{type variables}} \mid \overbrace{x_1, \dots, x_q}^{\text{"ground" variables}})$$

$$M : (p \mid \overbrace{\tau_1, \dots, \tau_q}^{p \vdash \tau_i \text{ type}} \vdash \tau_f)$$

input argument types

number of type variable arguments

output type

Signature for System F

Objects of \mathcal{A} are of the shape $n|\vec{\sigma} \vdash \sigma_f$

Typing rule	$O_p(n \vec{\sigma} \vdash \tau) = \coprod \dots$	$\alpha_o = (\dots)$
$\frac{x : \tau \in \Gamma}{n \Gamma \vdash x : \tau}$	$\{v_i \text{ s.t. } i \in \vec{\sigma} _{\tau}\}$	$()$
$\frac{n \Gamma \vdash t : \tau' \Rightarrow \tau \quad n \Gamma \vdash u : \tau'}{n \Gamma \vdash t u : \tau}$	$\{a_{\tau'} \text{ s.t. } n \vdash \tau' \text{ type}\}$	$\left(\begin{array}{l} n \vec{\sigma} \rightarrow \tau' \Rightarrow \tau \\ n \vec{\sigma} \rightarrow \tau' \end{array} \right)$
$\frac{n \Gamma, x : \tau_1 \vdash t : \tau_2}{n \Gamma \vdash \lambda x. t : \tau_1 \Rightarrow \tau_2}$	$\{l_{\tau_1, \tau_2} \text{ s.t. } \tau = (\tau_1 \Rightarrow \tau_2)\}$	$(n \vec{\sigma}, \tau_1 \rightarrow \tau_2)$
$\frac{n \Gamma \vdash t : \forall \tau_1 \quad \tau_2 \in S_n}{n \Gamma \vdash t \cdot \tau_2 : \tau_1[\tau_2]}$	$\{A_{\tau_1, \tau_2} \text{ s.t. } \tau = \tau_1[\tau_2]\}$	$(n \vec{\sigma} \rightarrow \forall \tau_1)$
$\frac{n+1 wk(\Gamma) \vdash t : \tau}{n \Gamma \vdash \Lambda t : \forall \tau}$	$\{\Lambda_{\tau'} \text{ s.t. } \tau = \forall \tau'\}$	$(n+1 wk(\vec{\sigma}) \rightarrow \tau')$

Unification in system F: an example

$$M(\vec{\alpha}|\vec{x}) \stackrel{?}{=} M(\vec{\beta}|\vec{y})$$

Most general unifier

$$M(\eta_1, \dots, \eta_p | v_1, \dots, v_m) \mapsto N(\vec{\gamma}|\vec{z})$$

where $\vec{\gamma}$ and \vec{z} are vectors of common positions

$$\alpha_{\vec{\gamma}} = \beta_{\vec{\gamma}}$$

$$x_{\vec{z}} = y_{\vec{z}}$$

Future directions

- Mecanisation (needs rephrasing using structural recursion)
- Dependent types
- Unification modulo reduction

Typing rule for metavariables

Typing judgement

$$\underbrace{\Gamma}_{\text{Metavariable context}} ; \underbrace{n}_{\text{Type variable context}} \mid \underbrace{t_1, \dots, t_m \vdash u : t_f}_{\substack{\text{Types of variables} \\ n \vdash t_i \text{ type}}}$$

Typing metavariables

$$\frac{0 < \overbrace{\alpha_1, \dots, \alpha_p}^{\text{distinct}} \leq n \quad 0 < \overbrace{x_1, \dots, x_q}^{\text{distinct}} \leq m \quad \tau_i[\vec{\alpha}] = t_{x_i}}{\Gamma, M : (p \mid \tau_1, \dots, \tau_q \vdash \tau_f) ; n \mid t_1, \dots, t_m \vdash M(\underbrace{\vec{\alpha}}_{\text{type variables}} \mid \vec{x}) : \tau_f[\vec{\alpha}]}$$