Characterizing clan-algebraic categories

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Duality for finite-limit theories (Gabriel-Ulmer duality³)

Theorem

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There is a bi-equivalence of 2-categories
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$$
\text{FL} \quad \xleftarrow{\{ \text{compact objects} \}^{\text{op}} \,\leftarrow\, \mathfrak{X}} \quad \text{LFP}^{\text{op}}.
$$

FL is the 2-category of small finite-limit categories and finite-limit preserving functors

- **LFP** is the 2-category of locally finitely presentable categories, i.e.
	- locally small cocomplete categories with a dense set of compact (finitely presentable) objects, and functors preserving small limits and filtered colimits ('forgetful functors').
- . Intuition: view small lex categories as theories, and LFP categories as categories of models
- This makes sense since every lex category can be exhibited as categorical incarnation of an essentially algebraic theory¹ or a generalized algebraic theory²

¹ P. Freyd. "Aspects of topoi". In: *Bulletin of the Australian Mathematical Society* (1972).

J. Cartmell. "Generalised algebraic theories and contextual categories". In: Annals of Pure and Applied Logic (1986).

P. Gabriel and F. Ulmer. Lokal präsentierbare Kategorien. Springer-Verlag, 1971.

Duality for finite-product theories⁴

There's a 'restriction' of G–U duality to finite-product theories (corresponding to many-sorted ordinary algebraic theories):

- \bullet FP_{cc} is the 2-category of Cauchy-complete finite-product categories
- ALG is the 2-category of algebraic categories and algebraic functors
	- An algebraic category is an l.f.p. category which is Barr-exact and where the compact (regular) projective objects are dense
	- An algebraic functor is a functor that preserves small limits, filtered colimits, and regular epimorphisms.

sifted colimits

 Clan-duality can be viewed as a refinement of GU-duality which allows to control the amount of limit-preservation in the models

⁴ J. Adámek, J. Rosický, and E.M. Vitale. Algebraic theories: a categorical introduction to general algebra. Cambridge University Press, 2010.

Clans

Definition

A clan is a small category T with terminal object 1, equipped with a class $\mathcal{T}_\dagger \subseteq \text{mor}(\mathcal{T})$ of morphisms – called **display maps** and written \rightarrow – such that

- 1. pullbacks of display maps along all maps exist and are display maps
- 2. display maps are closed under composition, and
- 3. isomorphisms and terminal projections $\Gamma \rightarrow 1$ are display maps.
- Definition due to Taylor⁵, name due to Joyal⁶ ('a clan is a collection of families')
- Relation to semantics of dependent type theory: display maps represent type families.
- \bullet Observation: clans have finite products (as pullbacks over 1).

A. Joyal. "Notes on clans and tribes". In: arXiv preprint arXiv:1710.10238 (2017).

 $Δ⁺ ^{-s⁺} +$

 $\Delta \xrightarrow{s} \Gamma$ q \perp \qquad \perp \perp \perp \perp

⁵ P. Taylor. "Recursive domains, indexed category theory and polymorphism". PhD thesis. University of Cambridge, 1987, § 4.3.2.

Examples

- Finite-product categories C can be viewed as clans with $C_{\dagger} = \{$ product projections $\}$
- Finite-limit categories $\mathcal L$ can be viewed as clans with $\mathcal L_\dagger = \mathrm{mor}(\mathcal L)$

We call such clans **FP-clans**, and **FL-clans**, respectively.

- . The syntactic category of every Cartmell-style generalized algebraic theory is a clan.
- Clan for categories:

 $\mathcal{K} = \{$ categories free on finite graphs $\}^{\text{op}} \subseteq \text{Cat}^{\text{op}}$ $K_{\dagger} = \{\text{functors induced by graph inclusions}\}^{\text{op}}$

 $\mathcal K$ can be viewed as syntactic category of a generalized algebraic theory of categories:

\n- $$
o \vdash O
$$
 sort
\n- $o \times y : O \vdash A(x, y)$ sort
\n- $o \times 1 : O \vdash id(x) : A(x, x)$
\n- $o \times yz : O, f : A(x, y), g : A(y, z) \vdash g \circ f : A(x, z)$
\n- $o \times yz : O, e : A(w, x), f : A(x, y), g : A(y, z) \vdash (g \circ f) \circ e = g \circ (f \circ e) : A(w, z)$
\n- $o \times y : O, f \in A(x, y) \vdash 1 \circ f = f = f \circ 1 : A(x, y)$
\n

Vertices of a finite graph are object variables and edges are morphism variables in a context. Graph inclusions are dual to context extensions.

Models

Definition

A **model** of a clan T is a functor $A : T \rightarrow$ Set which preserves 1 and pullbacks of display-maps.

- The category $\text{Mod}(\mathcal{T}) \subseteq [\mathcal{T}, \text{Set}]$ of models is l.f.p. and contains \mathcal{T}^{op} .
- For FP-clans (C, C_{\dagger}) we have $\mathsf{Mod}(C, C_{\dagger}) = \mathsf{FP}(C, \mathsf{Set})$.
- For FL-clans $(\mathcal{L}, \mathcal{L}_\dagger)$ we have $\mathsf{Mod}(\mathcal{L}, \mathcal{L}_\dagger) = \mathsf{FL}(\mathcal{L}, \mathsf{Set})$.
- $Mod(K, K_{\dagger}) = Cat.$

Observation

The same category of models may be represented by different clans. For example, ordinary algebraic theories can be represented by FP-clans as well as FL-clans.

The weak factorization system

- Would like duality between clans and their categories of models.
- Since the same l.f.p. category can be represented by different clans, we cannot hope to reconstruct the clan from the models alone.
- . Solution: equip the models with additional structure in form of a weak factorization system.

Definition Let T be a clan. Define w.f.s. $(\mathcal{E}, \mathcal{F})$ on $\mathsf{Mod}(\mathcal{T})$ by • $\mathcal{F} := \textbf{RLP}(\{Z(p) \mid p \in \mathcal{T}_\dagger\})$ class of full maps $\bullet \ \mathcal{E} := \mathsf{LLP}(\mathcal{F})$ class of extensions

I.e. $(\mathcal{E}, \mathcal{F})$ is cofibrantly generated by the image of \mathcal{T}_\dagger under $Z : \mathcal{T}^\mathsf{op} \to \mathsf{Mod}(\mathcal{T})$.

- Call $A \in Mod(\mathcal{T})$ a 0-extension, if $(0 \to A) \in \mathcal{E}$
- E.g. corepresentables $Z(\Gamma)$ are 0-extensions since terminal projections $\Gamma \rightarrow 1$ are display maps.
- The same weak factorization system was also introduced by S. Henry in a HoTTEST talk⁷, see $also⁸$.

⁷S. Henrv. The language of a model category, HoTTEST seminar, Jan. 2020, https://youtu.be/7_X0qbSX1fk

S. Henry. "Algebraic models of homotopy types and the homotopy hypothesis". In: arXiv preprint arXiv:1609.04622 (2016).

Full maps

• $f : A \to B$ in $\mathsf{Mod}(\mathcal{T})$ is full iff it has the RLP with respect to all $Z(p)$ for display maps $p : \Delta \rightarrow \Gamma$.

$$
\begin{array}{ccc}\n\mathcal{T}(\Gamma,-) & \xrightarrow{\qquad} A & & A(\Delta) \xrightarrow{f_{\Delta}} B(\Delta) \\
Z(\rho) = \mathcal{T}(\rho,-) \downarrow & \xrightarrow{\qquad} f & & A(\rho) \downarrow & \downarrow B(\rho) \\
\mathcal{T}(\Delta,-) & \xrightarrow{\qquad} B & & A(\Gamma) \xrightarrow{f_{\Gamma}} & B(\Gamma)\n\end{array}
$$

- This is equivalent to display-naturality-squares being weak pullbacks.
- Considering $p : \Delta \to 1$ we see that full maps are surjective and hence regular epis.

$$
A(\Delta) \xrightarrow{f_{\Delta}} B(\Delta) \qquad A(\Delta) \xrightarrow{f_{\Delta}} B(\Delta) \n\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow \n1 \longrightarrow 1 \qquad A(\Delta) \times A(\Delta) \xrightarrow{f_{\Delta} \times f_{\Delta}} B(\Delta) \times B(\Delta)
$$

- \bullet For FL-clans, only isos are full (consider naturality square for diagonal $\Delta \to \Delta \times \Delta)$
- For FP-clans we have

$$
full map = regular epimorphism
$$

 0 -extension $=$ projective object

Duality for clans

- \bullet Clan_{cc} is the 2-category of clans and functors preserving 1, display maps and pullbacks of display maps
- cAlg is the 2-category of clan-algebraic categories, i.e. categories $\mathcal X$ equipped with a WFS $(\mathcal{E}, \mathcal{F})$ of **extensions** and **full maps**, such that
	- 1. $\mathfrak X$ is locally small and cocomplete,
	- 2. $\hat{\mathcal{X}}$ has a small dense family of compact 0-extensions (in particular $\hat{\mathcal{X}}$ is l.f.p.),
	- 3. $(\mathcal{E}, \mathcal{F})$ is cofibrantly generated by maps between compact 0-extensions, and
	- 4. \hat{x} has full and effective quotients of componentwise-full equivalence relations.
- Both directions of the proof are non-trivial, details in the appendix

Models in Higher Types

Models in higher types

Let S be the ∞ -topos of spaces/types.

Let C_{Mon} be the finite-product theory of monoids, and let \mathcal{L}_{Mon} be the finite-limit theory of monoids. Then

 $FP(\mathcal{C}_{Mon}$, Set) \simeq FL(\mathcal{L}_{Mon} , Set)

but $\textsf{FP}(\mathcal{C}_{\textsf{Mon}},\mathcal{S})$ and $\textsf{FL}(\mathcal{L}_{\textsf{Mon}},\mathcal{S})$ are different:

- FL $(\mathcal{L}_{Mon}, \mathcal{S})$ is just the category of monoids
- FP(C_{Mon} , S) is the ∞ -category 'A_∞-algebras', i.e. homotopy-coherent monoids.

Moral

By being 'slimmer', finite-product theories leave room for higher coherences when interpreted in higher types.

This phenomenon was recently discussed under the name 'animation' in⁹, and earlier in 10

⁹ K. Cesnavicius and P. Scholze. "Purity for flat cohomology". In: arXiv preprint arXiv:1912.10932 (2019).

¹⁰ D. Quillen. Homotopical algebra. Springer, 1967.

Four clans for categories

Cat admits several clan-algebraic weak factorization systems:

- \bullet ($\mathcal{E}_1, \mathcal{F}_1$) is cofib. generated by $\{(0 \rightarrow 1), (2 \rightarrow 2)$ }
- \bullet ($\mathcal{E}_2, \mathcal{F}_2$) is cofib. generated by {(0 → 1), (2 → 2), (2 → 1)}
- \bullet (E₃, F₃) is cofib. generated by {(0 → 1), (2 → 2), (P → 2) }
- \bullet (E₄, F₄) is cofib. generated by {(0 → 1), (2 → 2), (P → 2), (2 → 1)} where $\mathbb{P} = (\bullet \rightrightarrows \bullet)$.

The right classes are:

 $\mathcal{F}_1 = \{$ full and surjective-on-objects functors } $\mathcal{F}_2 = \{$ full and bijective-on-objects functors} \mathcal{F}_3 = {fully faithful and surjective-on-objects functors} $\mathcal{F}_A = \{ \text{isos} \}$

Note that \mathcal{F}_3 is the class of trivial fibrations for the canonical model structure on **Cat**.

Four clans for categories

These correspond to the following clans:

 $\mathcal{T}_1 = \{\text{free cats on fin. graphs}\}^{\text{op}}$ 7 $\mathcal{T}_2 = \{\text{free cats on fin. graphs}\}^{\text{op}}$ 7 $\mathcal{T}_3 = \{f.p. \text{ cats}\}^{\text{op}}$ op $\mathcal T$ $\mathcal{T}_4 = \{f.p. \text{ cats}\}^{\text{op}}$ op $\mathcal T$

 $\mathbf{I}^{\dagger}_{1} = \{\textsf{graph} \text{ inclusions}\}$ $\zeta_2^{\dagger} = \{\text{injective-on-edges maps}\}$ $\zeta_3^{\dagger}=\{\text{injective-on-objects functors}\}$ $\zeta_4^{\dagger} = \{\text{all functors}\}$

Models in higher types:

 ∞ -**Mod** $(\mathcal{T}_1) = \{$ Segal spaces $\}$ ∞ -**Mod**(\mathcal{T}_2) = {Segal categories} ∞ -Mod $(\mathcal{T}_3) = \{$ pre-categories} ∞ -**Mod**(\mathcal{T}_4) = {discrete 1-categories}

Syntax

From clans to theories

. Duality between clans and clan-algebraic categories is a theory/model duality, where the theories themselves are of a categorical nature.

$$
\text{Clan}_{\text{cc}} \quad \xleftarrow{\mathrm{comp}(\mathfrak{X})^{\mathrm{op}} \,\leftarrow\, \mathfrak{X}} \quad \text{cAlg}^{\mathrm{op}}
$$

- There's also a correspondence between categorical theories (clans) and syntactic theories (GATs)
	- The syntactic category of every GAT is a clan
	- Moreover, (I think that) every clan is equivalent to the syntactic category of a GAT, giving rise to an essentially surjective map as below.

• This map can be enhanced to an equivalence by defining 1- and 2-cells between GATs to be 1and 2-cells between the corresponding clans.

Four GATs for categories

GAT for \mathcal{T}_1

- \bullet + 0 sort $\bullet x : O + 1 : A(x, x)$
- \bullet x y : O ⊢ A(x, y) sort \bullet x y z : O, f : A(x, y), g : A(y, z) ⊢ g ∘ f : A(x, z)
- $w \times y \times z : O, e : A(w, x), f : A(x, y), g : A(y, z) \vdash (g \circ f) \circ e = g \circ (f \circ e) : A(w, z)$
- $xy : O, f \in A(x, y) \vdash 1 \circ f = f = f \circ 1 : A(x, y)$
- \overline{J}_2 should have an equivalent syntactic category but more display maps, including the diagonal

$$
\delta_O = (x, x) : [x : O] \rightarrow [xy : O].
$$

 This is not a context projection, but we can make it isomorphic to one by introducing a new type over $[x, y : 0]$ and forcing it to be isomorphic to $[x : 0]$

Four GATs for categories

Additional axioms for \mathcal{T}_2

- $xy : O \vdash E(x, y)$ sort
- $x : O \vdash r : E(x, x)$
- $xy : O, p : E(x, y) \vdash x = y$ • $xy : O, pq : E(x, y) \vdash p = q$
- \bullet In other words, we add an extensional equality type for O 'by hand'
- With this we can show the isomorphism of contexts $[x : O] \cong [x y : O, p : E(x, y)]$
- \bullet Similarly, add extensional equality for morphisms to get \mathcal{T}_{e} :

Additional axioms for \mathcal{T}_3

- $xy: O, fg: A(x, y) \vdash F(f, g)$ sort
- $xy: 0, f: A(x, y) \vdash s: F(f, f)$

 \bullet x y : O, f g : A(x, y), p : $F(f, g) \vdash f = g$ \bullet x y : O, f g : A(x, y), p q : F(f, g) \vdash p = q

• Adding both sets of axioms yields \mathcal{T}_4

Appendix – the proof

Reconstructing the clan

Definition

Given a clan T, let $\mathbb{C} \subseteq \mathsf{Mod}(\mathcal{T})$ be the full subcategory on **compact 0-extensions**.

- C is a coclan with extensions as "co-display maps".
- $Z: \mathcal{T}^{\text{op}} \to \text{Mod}(\mathcal{T})$ factors through $\mathbb C$ since corepresentables $Z(\Gamma)$ are compact and 0-extensions.

 \bullet Have to show that \overline{E} is a Morita equivalence, i.e. every compact 0-extension is a retract of a corepresentable.

The fat small object argument

Motivation: Subcategories of models for FP-theory $\mathcal C$ and clan $\mathcal T$.

- Flat algebras are filtered colimits of corepresentables, computed freely in the functor categories.
- For algebraic theories we have $\{ \text{projective} \} \subseteq \{ \text{flat} \}$ since
	- arbitrary free objects are filtered colimits of free objects over finite sets
	- projective objects are retracts of free objects
- In the general clan case, ${0$ -extension $\} \subseteq {$ flat $}$ by the fat small object argument¹¹.

¹¹ M. Makkai, J. Rosicky, and L. Vokrinek. "On a fat small object argument". In: Advances in Mathematics (2014).

Reconstructing the clan

Theorem

The full inclusion $E: \mathcal{T}^{\mathrm{op}} \hookrightarrow \mathbb{C}$ exhibits $\mathbb C$ as *Cauchy-completion* of $\mathcal{T}^{\mathrm{op}}$, i.e. every compact 0-extension is a retract of a corepresentable.

Proof.

- Let $C \in \mathbb{C}$.
- Since 0-extensions are flat, $\int C$ is filtered, thus C is a filtered colimit of corepresentables.
- Since C is compact, id_C factors through a colimit inclusion map.

$$
\begin{array}{c}\nC \\
\downarrow \downarrow \\
Z(\Gamma) \xrightarrow[\sigma(\Gamma,x)]{} C\n\end{array}
$$

Other direction – Idea of proof

Show that the nerve/realization adjunction

is an equivalence.

- \bullet By density the right adjoint N is fully faithful, i.e. the counit is an isomorphism.
- It remains to show that the unit of the adjunction is an isomorphism, i.e.

 $A(C) \stackrel{\cong}{\rightarrow} \mathfrak{X}(C, \text{colim}(\int A \to \mathbb{C} \stackrel{J}{\to} \mathfrak{X})).$

for all $A \in \mathsf{Mod}(\mathbb{C}^{\mathsf{op}})$ and $C \in \mathbb{C}$.

- The functor $\mathcal{X}(\mathcal{C},-)$ preserves filtered colimits and quotients of componentwise-full equivalence relations, so it suffices to decompose $\mathsf{colim}(\int A \to \mathbb{C} \stackrel{J}{\to} \mathfrak{X})$ in terms of these constructions.
- This is essentially what we're doing in the following.

Jointly full cones

- Let $D: \mathcal{I} \to \mathfrak{X}$ be a diagram in an adequate category.
- A cone (A, ϕ) over D is called **jointly full**, if for every cone (C, γ) , extension $e : B \to C$ and map $g : B \to A$ constituting a cone morphism $g : (B, \gamma \circ e) \to (A, \phi)$, there exists a map $h : C \to A$ such that

$$
\begin{array}{ccc}\n & B & \xrightarrow{\mathcal{E}} & A \\
 & h & \xrightarrow{\lambda} & \downarrow{\phi}, \\
 & C & \xrightarrow{\gamma_i} & D_i\n\end{array}
$$

commutes for all $i \in \mathcal{I}$.

• Observation: The cone (A, ϕ) is jointly full iff the canonical map to the limit is full.

Definition

A nice diagram in an adequate category \hat{x} is a truncated simplicial diagram

$$
A_2 \xrightarrow{\frac{d_0}{2} \frac{d_1 - s_0}{d_1 - s_1}} A_1 \xrightarrow{\frac{d_0}{2} \frac{d_0 - s_0}{d_1 - s_0}} A_0
$$

where

- 1. A_0 , A_1 , and A_2 are 0-extensions,
- 2. the maps $d_0, d_1 : A_1 \rightarrow A_0$ are full,
- $3.$ in the square $A_2 \longrightarrow A_1$ $A_1 \longrightarrow A_0$ d_2 d_0 d_1 the span constitutes a jointly full diagram over the cospan, $4.$ there exists a symmetry map $A_1 \longrightarrow A_0$ $A_0 \stackrel{a_1}{\longleftarrow} A_1$ d_1 d_0 $\int_{d_1}^{\sigma}$ \uparrow_{d_0} making the triangles commute, and
- 5. there exists a 0-extension \tilde{A} and full maps $f, g : \tilde{A} \rightarrow A_1$ constituting a jointly full cone over the diagram

Nice diagrams

Lemma

For any nice diagram, the pairing $A_1\stackrel{\langle d_0,d_1\rangle}{\longrightarrow}A_0\times A_0$ admits a decomposition $A_1\twoheadrightarrow R\stackrel{\langle r_0,r_1\rangle}{\longrightarrow}A_0\times A_0$ into a full map and a monomorphism, and $\langle r_0, r_1 \rangle$ is a componentwise-full equivalence relation.

Lemma

Assume \hat{x} is adequate and $F : \hat{x} \to \mathbf{Set}$ preserves finite limits and sends full maps to surjections. Then for every nice diagram, F preserves coequalizers of the arrows $d_0, d_1 : A_1 \rightarrow A_0$.

Lemma

The restriction L' of L in the nerve/realization adjunction

to 0-extensions is fully faithful and preserves full maps and nice diagrams.

Nice diagrams

Lemma

For every object A of an adequate category $\mathfrak X$ there exists a nice diagram

$$
A_2 \xrightarrow{\frac{d_0}{\frac{d_1}{\frac{d_2}{\cdots}}}} A_1 \xrightarrow{\frac{d_0}{\frac{d_0}{\cdots}}}} A_1 \xrightarrow{\frac{d_0}{\frac{d_1}{\cdots}}}
$$

such that A is the coequalizer of $d_0, d_1 : A_1 \rightarrow A_0$.

Proof.

- A_0 is given by covering A by a 0-extension, i.e. factoring $0 \to A$ as $0 \hookrightarrow A_0 \stackrel{e}{\twoheadrightarrow} A$.
- A_1 is given by covering the kernel of $A_0 \rightarrow A$ by a 0-extension

 \bullet A_2 is given by covering the following pullback:

$$
0 \hookrightarrow A_2 \longrightarrow \bullet \longrightarrow A_1
$$

$$
\downarrow \qquad \qquad \downarrow d_0
$$

$$
A_1 \xrightarrow{d_1} A_0
$$

 $0 \hookrightarrow A_1 \longrightarrow R \stackrel{\cdot o}{\longrightarrow} A_0$

 $A_0 \stackrel{e}{\longrightarrow} A$

r0 r_1 \qquad

The proof

Proof of the theorem.

Let $\mathbb{C} \subseteq \mathfrak{X}$ be the co-clan of compact 0-extensions. It remains to show that

 $AC \cong \mathfrak{X}(C, LA).$

for all $A\in\mathsf{Mod}(\mathbb{C}^\mathrm{op})$ and $C\in\mathbb{C}.$ Let A_\bullet be a nice diagram with coequalizer $A.$ We have

$$
\mathfrak{E}(C, LA) = \mathfrak{X}(C, L(\text{coeq}(A_1 \implies A_0))) \qquad \qquad \text{since } A = \text{coeq}(A_1 \implies A_0)
$$
\n
$$
\cong \mathfrak{X}(C, \text{coeq}(LA_1 \implies LA_0)) \qquad \qquad \text{since } L \text{ preserves colimits}
$$
\n
$$
\cong \text{coeq}(\mathfrak{X}(C, LA_1) \implies \mathfrak{X}(C, LA_0)) \qquad \qquad \text{since } \mathfrak{X}(C, -) \text{ preserves coegs of nice diag}
$$
\n
$$
\cong \text{coeq}(A_1 C \implies A_0 C) \qquad \qquad \text{since } LA_i = \text{colim}(\int A_i \to \mathbb{C} \to \mathfrak{X}) \text{ filtered}
$$
\n
$$
\cong \text{coeq}(\text{Mod}(ZC, A_1) \implies \text{Mod}(ZC, A_0))
$$
\n
$$
\cong \text{Mod}(ZC, \text{coeq}(A_1 \implies A_0))
$$
\n
$$
\cong \text{Mod}(ZC, A))
$$
\n
$$
\cong AC
$$

 $\mathsf{ce}\ A = \mathsf{coeq}(A_1 \rightrightarrows A_0)$ ce L preserves colimits $ce \mathfrak{X}(C, -)$ preserves coeqs of nice diags

Related work

- \bullet B. Ahrens, P. North, M. Shulman, and D. Tsementzis. "A higher structure identity principle". English. In: Proceedings of the 2020 35th annual ACM/IEEE symposium on logic in computer science, LICS 2020, virtual event, July 8–11, 2020. New York, NY: Association for Computing Machinery (ACM), 2020
- \bullet I. Di Liberti and J. Rosick´y. "Enriched Locally Generated Categories". In: (Sept. 2020). arXiv: [2009.10980](https://arxiv.org/abs/2009.10980) [math.CT]
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Thanks for your attention!